

# Spike-triggered Average, Spike-triggered Covariance, LNP models

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Methods in Computational Neuroscience  
(NEU 394P, PSY 394U)  
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## 2<sup>nd</sup> idea: polynomial model (Volterra/ Wiener Kernels)

Taylor series expansion of a function  $f(x)$  in  $n$  dimensions

$$y = k_0 + \vec{k}_1 \cdot \vec{x} + \vec{x}^t K_2 \vec{x} + K_3 \cdot \vec{x}^3 + \dots$$



const



1



vector



$n$

(20)



matrix



$n^2$

(400)



3-tensor



$n^3$

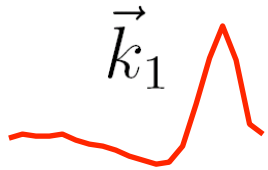
(8000)

# pars:

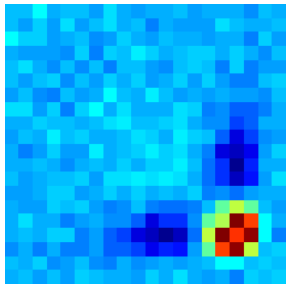
- estimate kernels using moments of spike-triggered stimuli
- in practice, rarely have enough data to go beyond 2<sup>nd</sup> order.

# Quadratic Model

$$y = k_0 + \vec{k}_1 \cdot \vec{x} + \vec{x}^t K_2 \vec{x}$$



$K_2$



HW problem:

Show that if:  $x \sim \mathcal{N}(0, I)$  (Gaussian white noise stimuli)

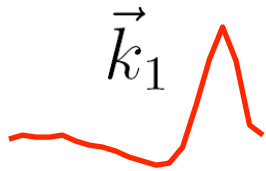
$$\frac{1}{n_{sp}} \sum_{i=1}^N y_i x_i, \text{ the spike-triggered average}$$

and  $\frac{1}{n_{sp}} \sum_{i=1}^N y_i (x_i x_i^T)$ , the spike-triggered covariance

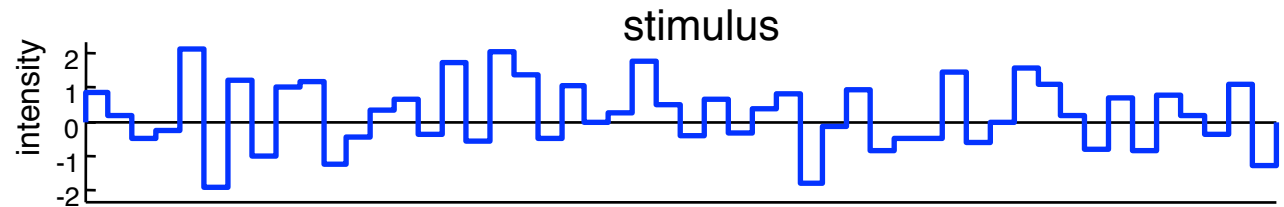
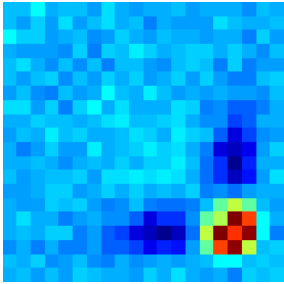
provide a consistent estimators for  $k_1$  and  $K_2$ , respectively.

# Test of Quadratic Model

$$y = k_0 + \vec{k}_1 \cdot \vec{x} + \vec{x}^t K_2 \vec{x}$$

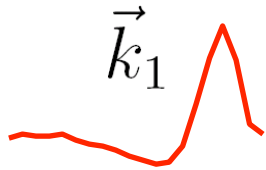


$K_2$

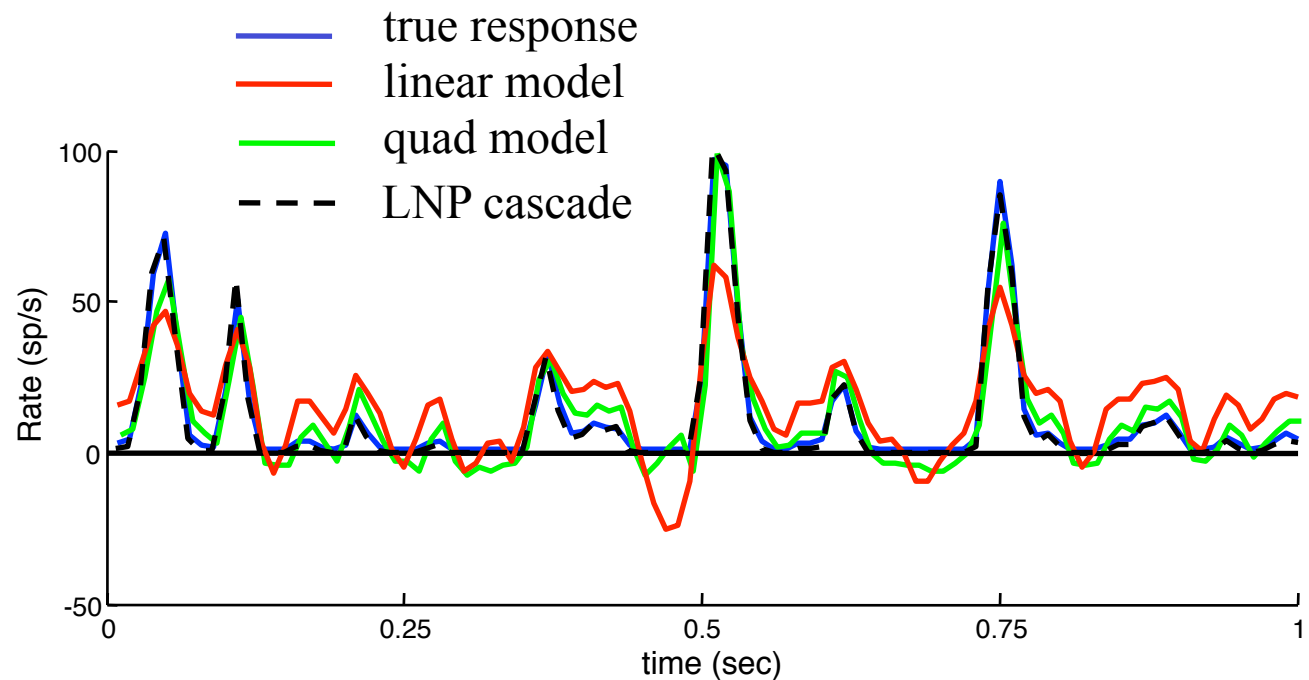
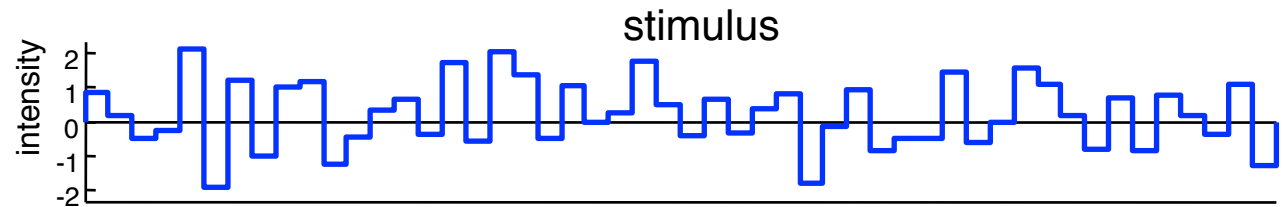
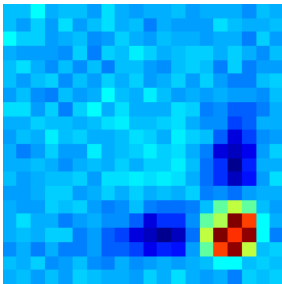


# Test of Quadratic Model

$$y = k_0 + \vec{k}_1 \cdot \vec{x} + \vec{x}^t K_2 \vec{x}$$

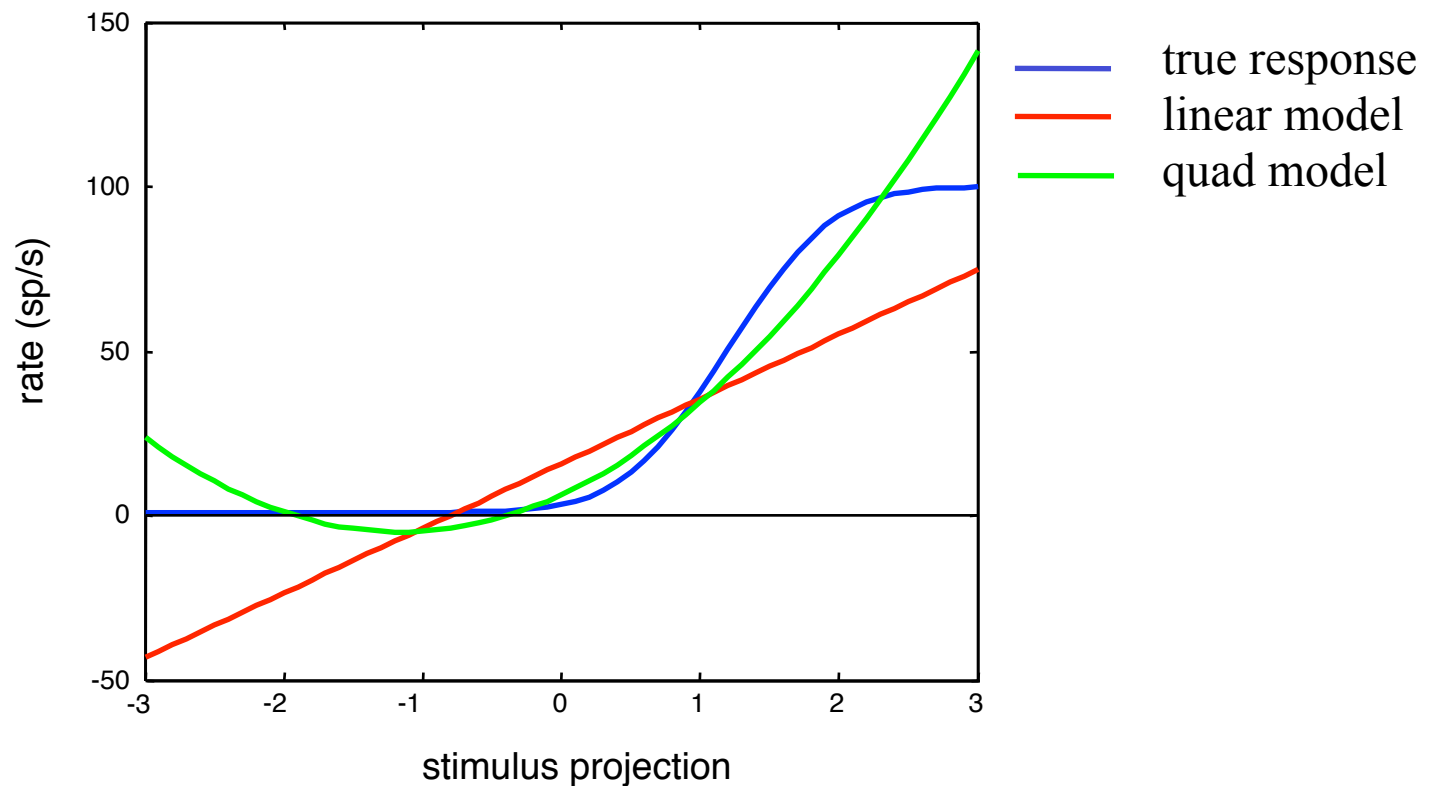


$K_2$




# Why do Volterra/Wiener models perform poorly?

Polynomials do a poor job of representing the nonlinearities found in neurons.



# Volterra / Wiener expansion for functionals

$$f(t) = \sum_i K_i \otimes x_t^{(i)}$$

 *i*'th order tensor

$$K_i \otimes x_t^{(i)} = \sum_{j_1, \dots, j_i} K(j_1, \dots, j_i) \cdot \left( x(t - j_1) \cdot \dots \cdot x(t - j_i) \right)$$

## Summary so far:

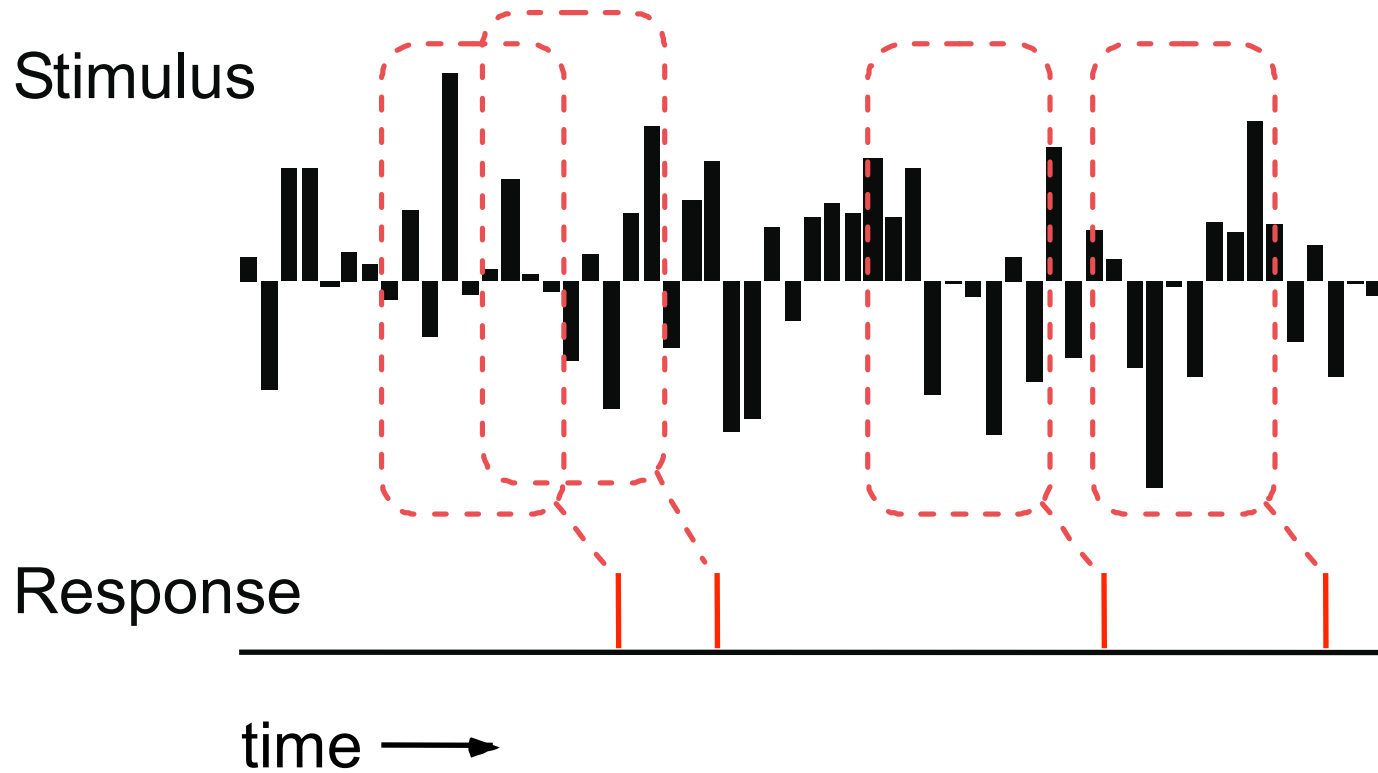
- Linear Models, Volterra/Wiener Kernels:  
fit a polynomial to  $E(y|x)$

## Next Up:

- cascade models (Linear-nonlinear-Poisson)



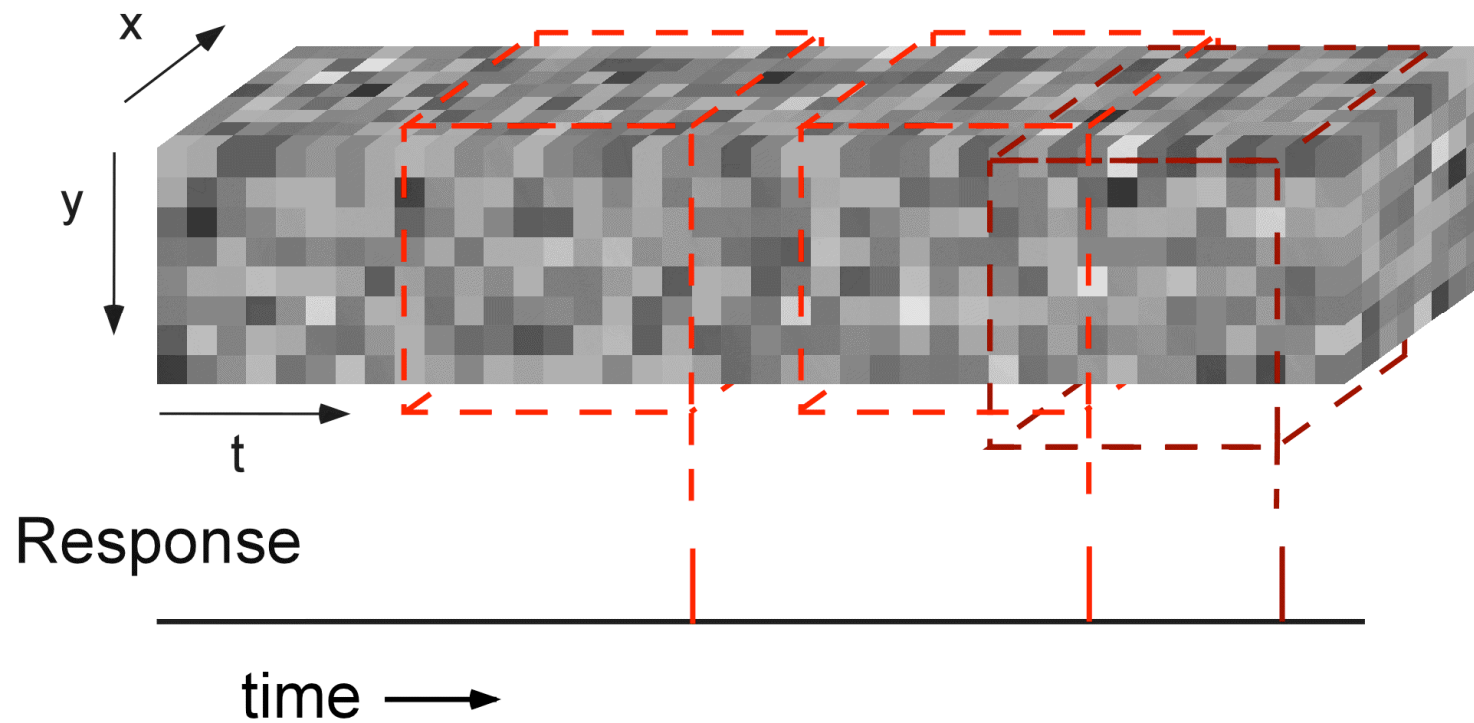
# Spike-triggered ensemble



- 9-sample stimulus block

# Spike-triggered ensemble (3D stimulus)

Stimulus

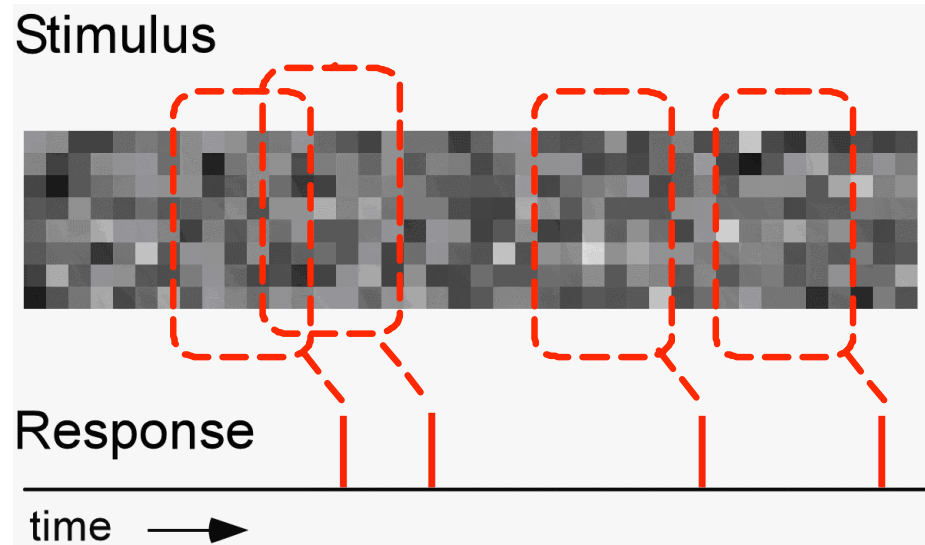


Response

time →

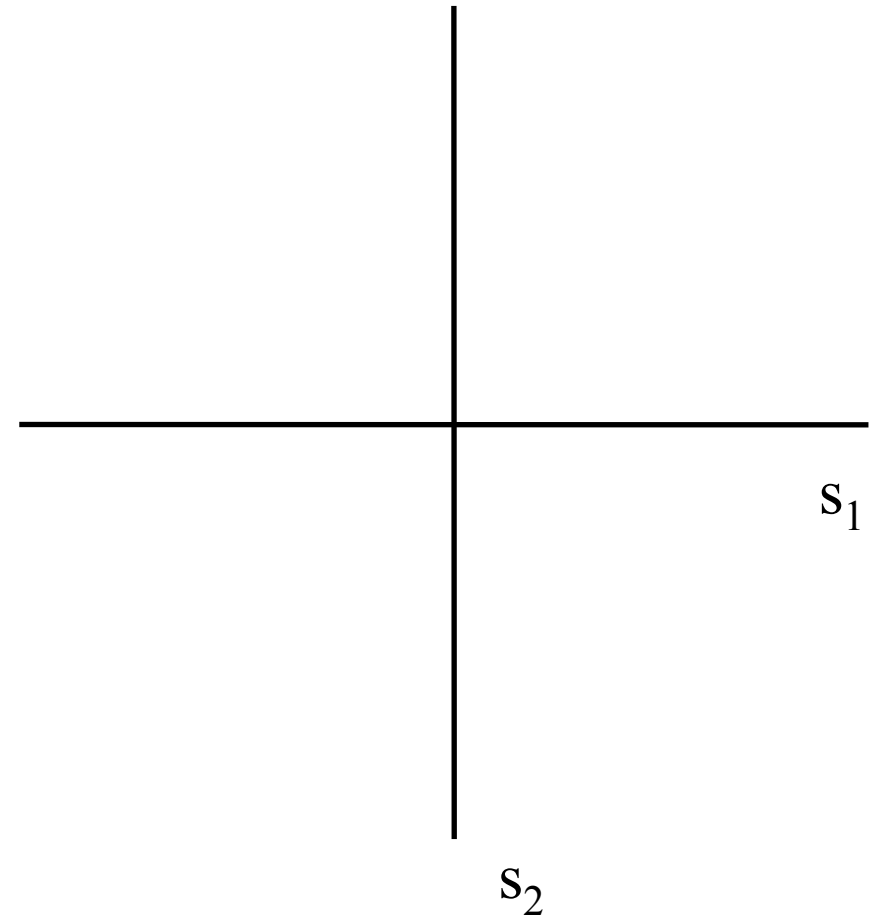
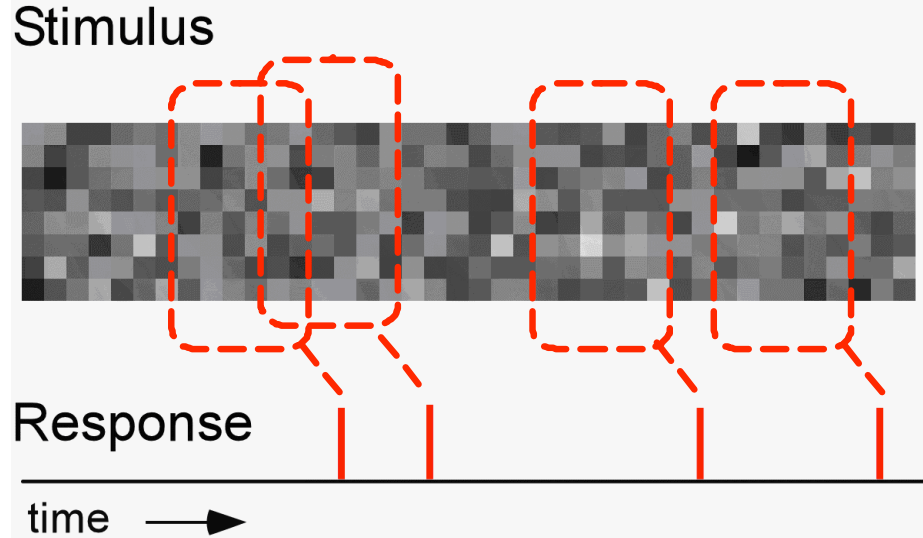
- 8 x 8 x 10 stimulus block

## 2D stimulus (flickering bars)



- 8 x 6 stimulus block  
= 48-dimensional vector

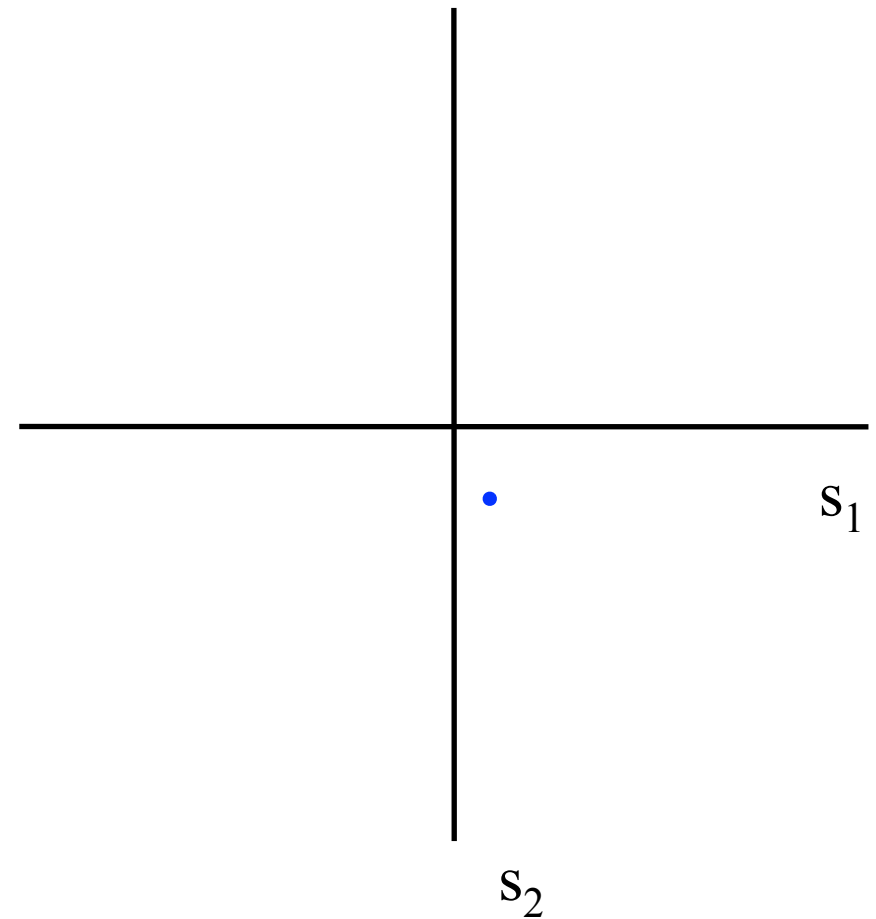
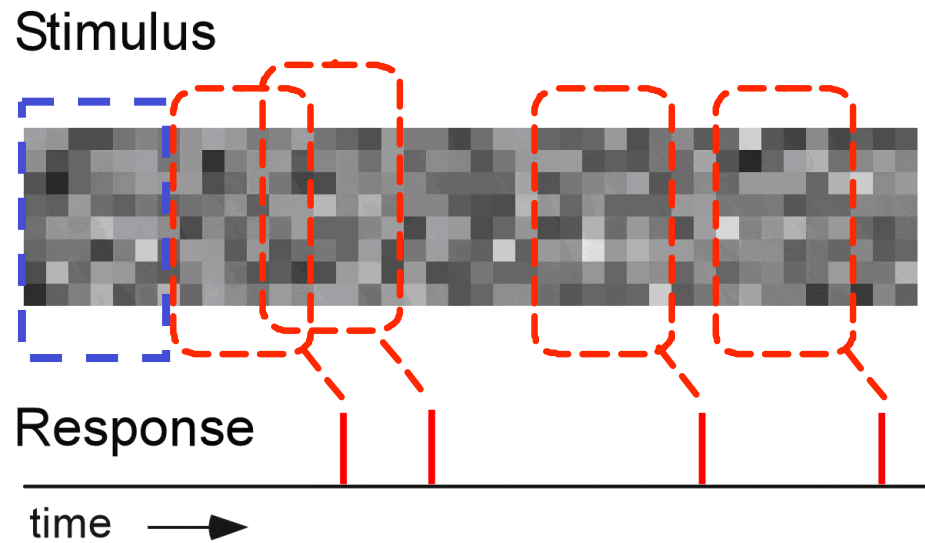
# Geometric picture



- 8 x 6 stimulus block  
= 48-dimensional vector

- raw stimuli
- spiking stimuli

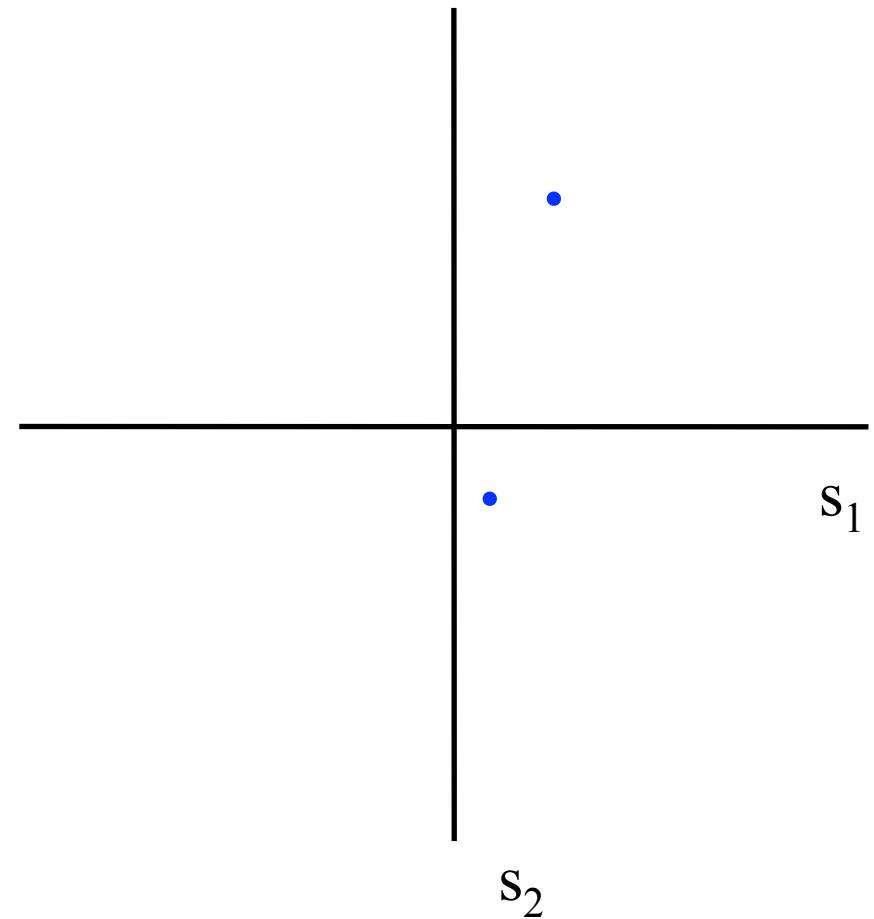
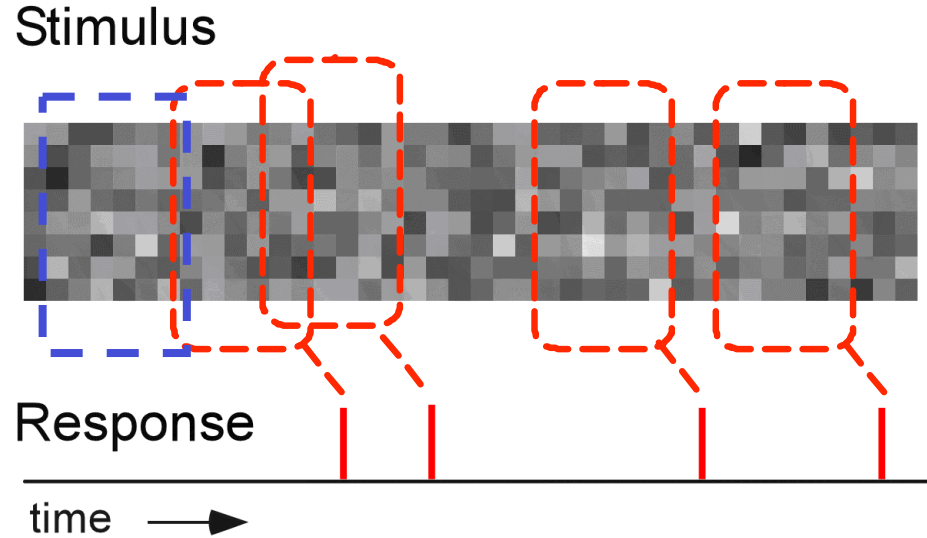
# Geometric picture



- 8 x 6 stimulus block  
= 48-dimensional vector

- raw stimuli
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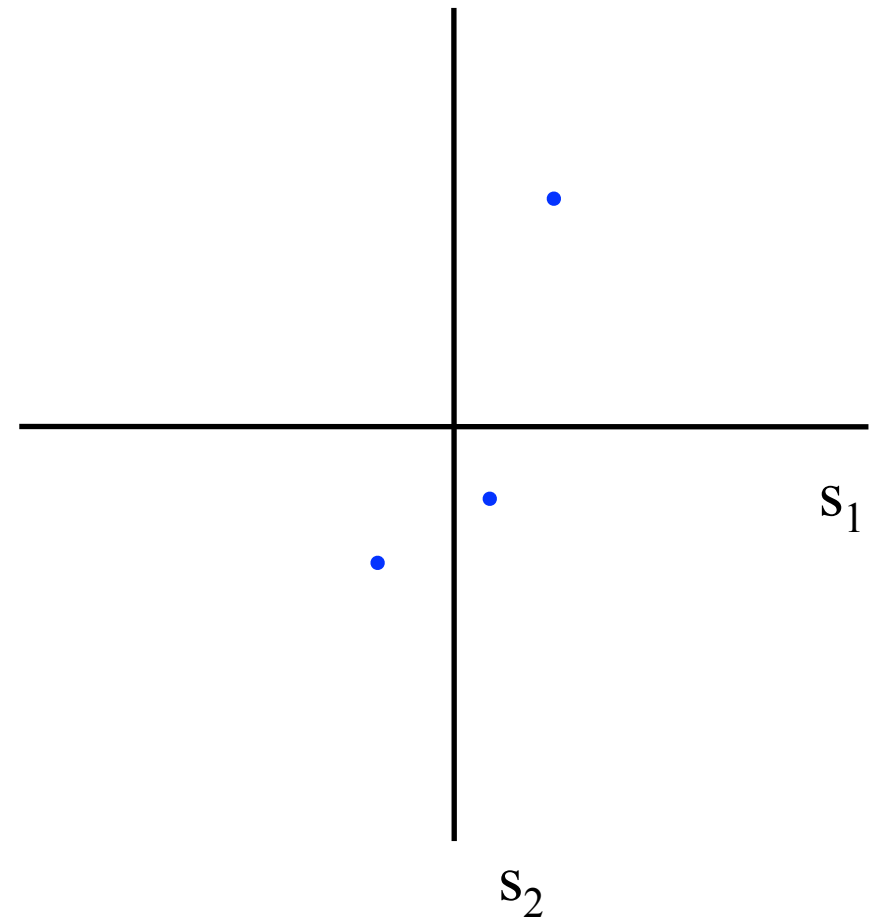
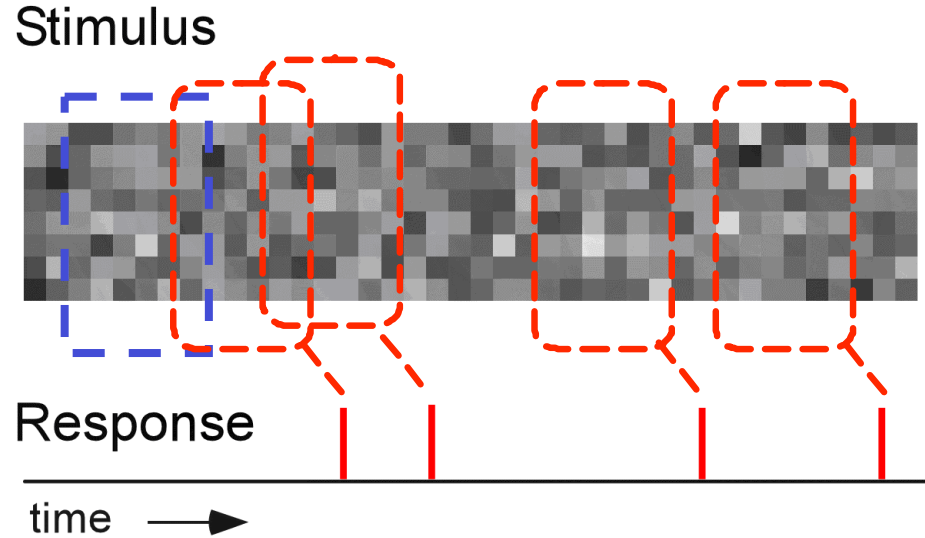
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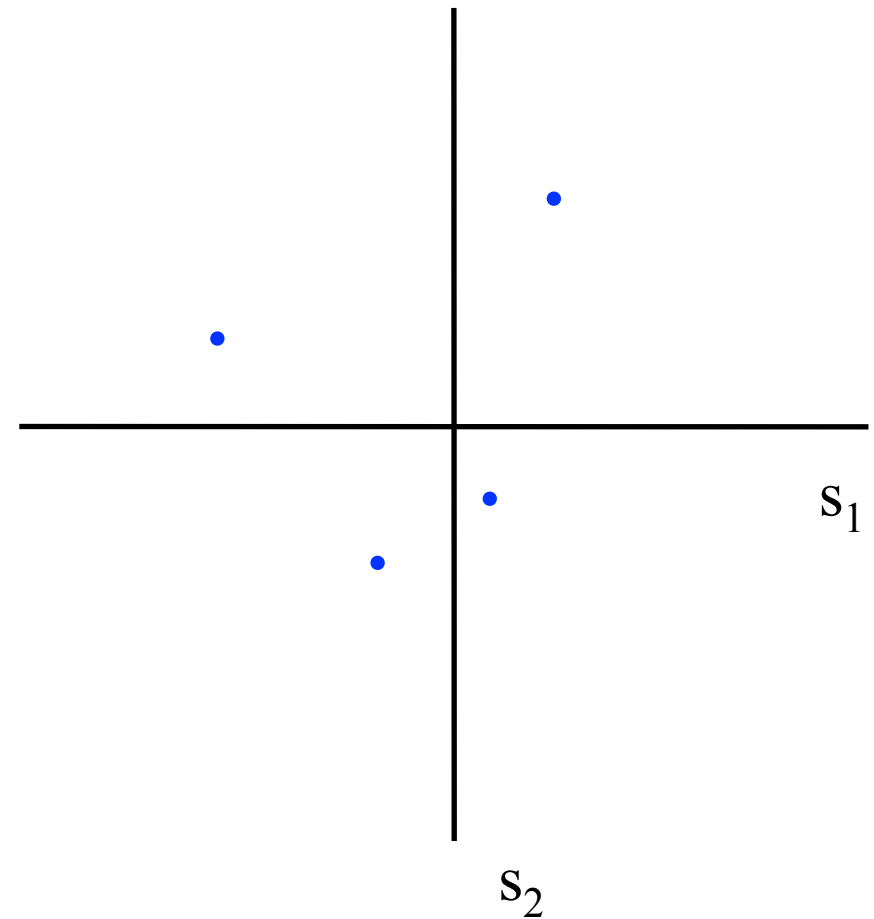
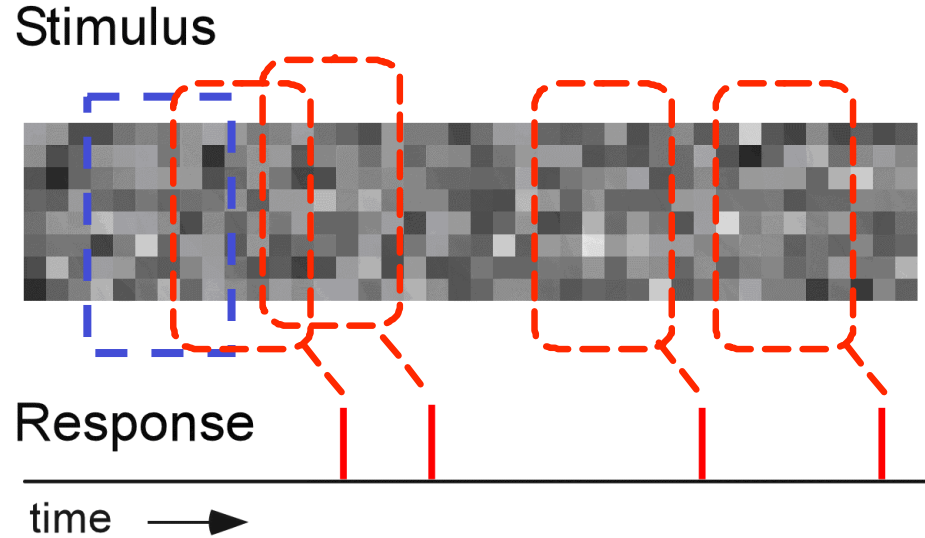
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# Geometric picture

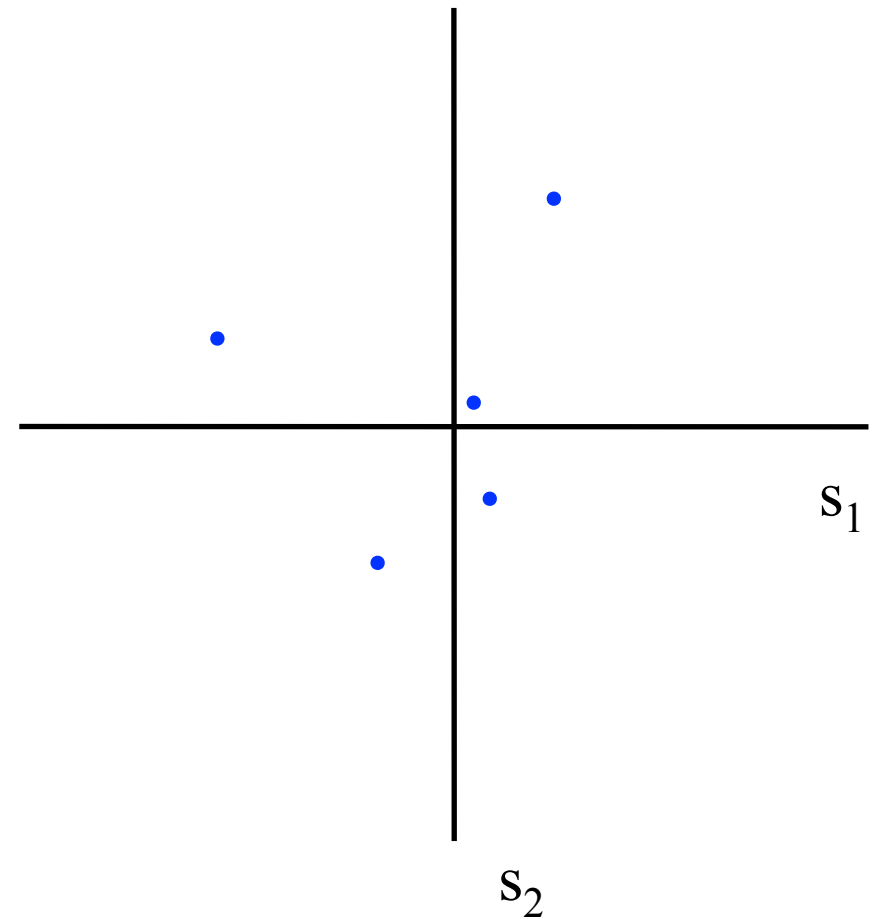
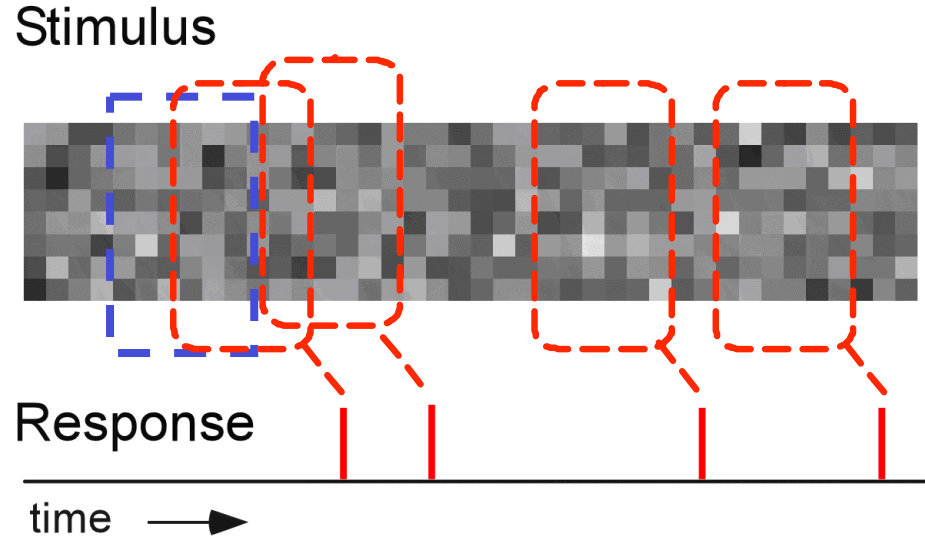


- 8 x 6 stimulus block  
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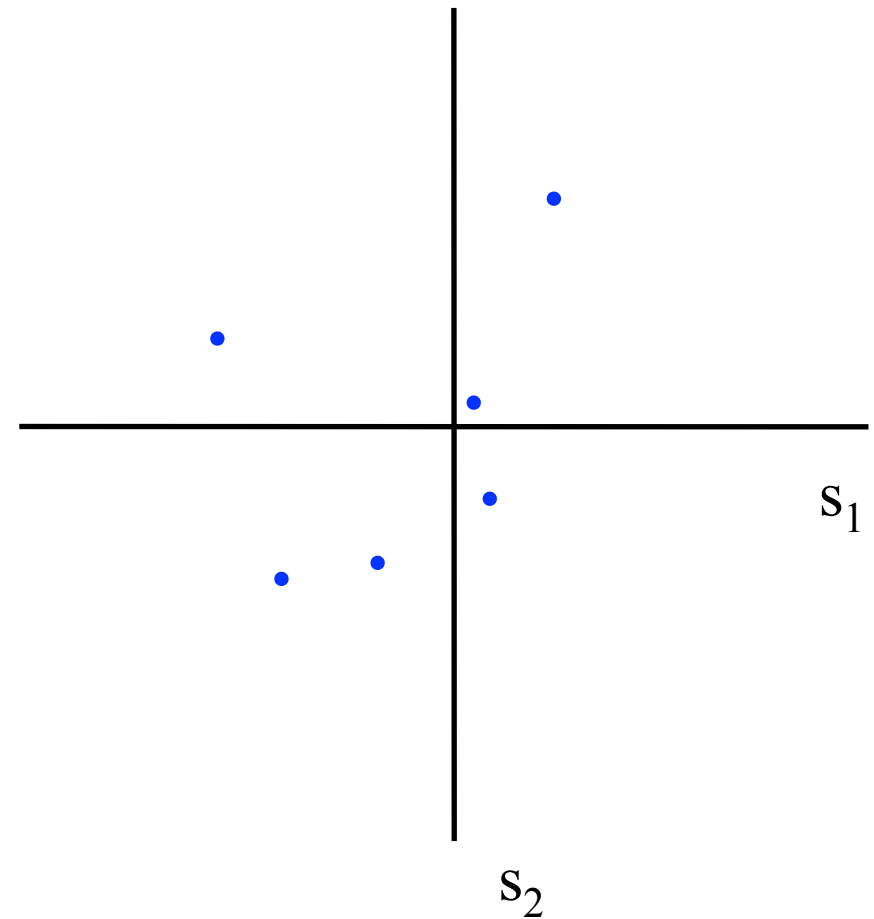
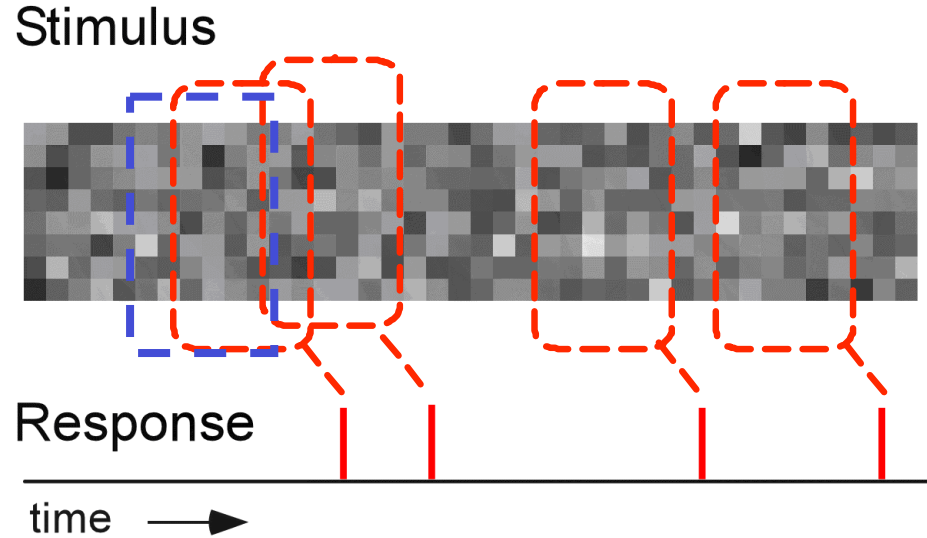
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- 8 x 6 stimulus block  
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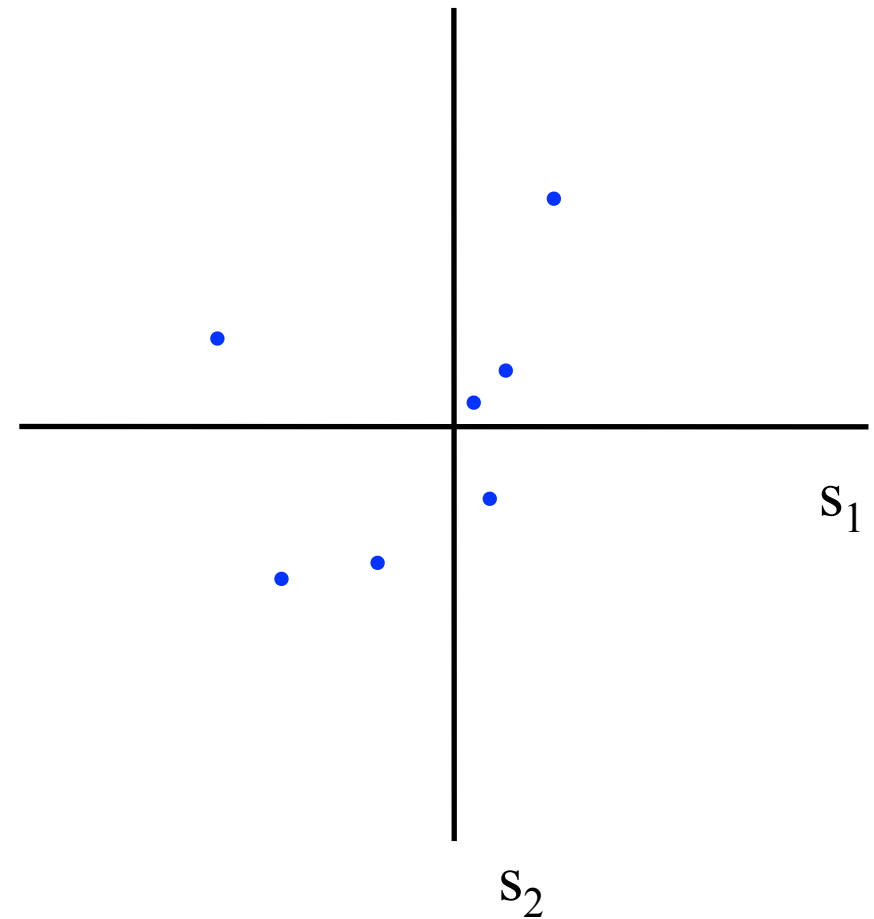
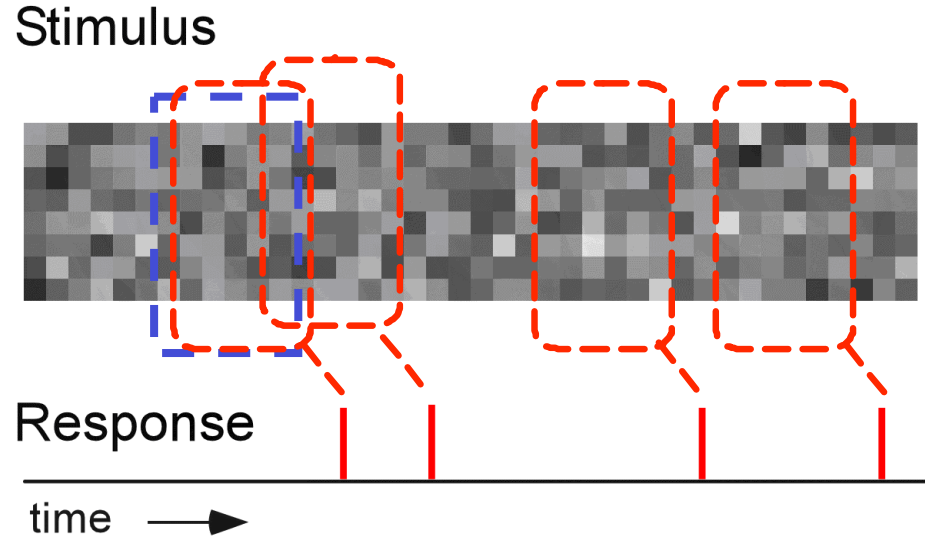
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- 8 x 6 stimulus block  
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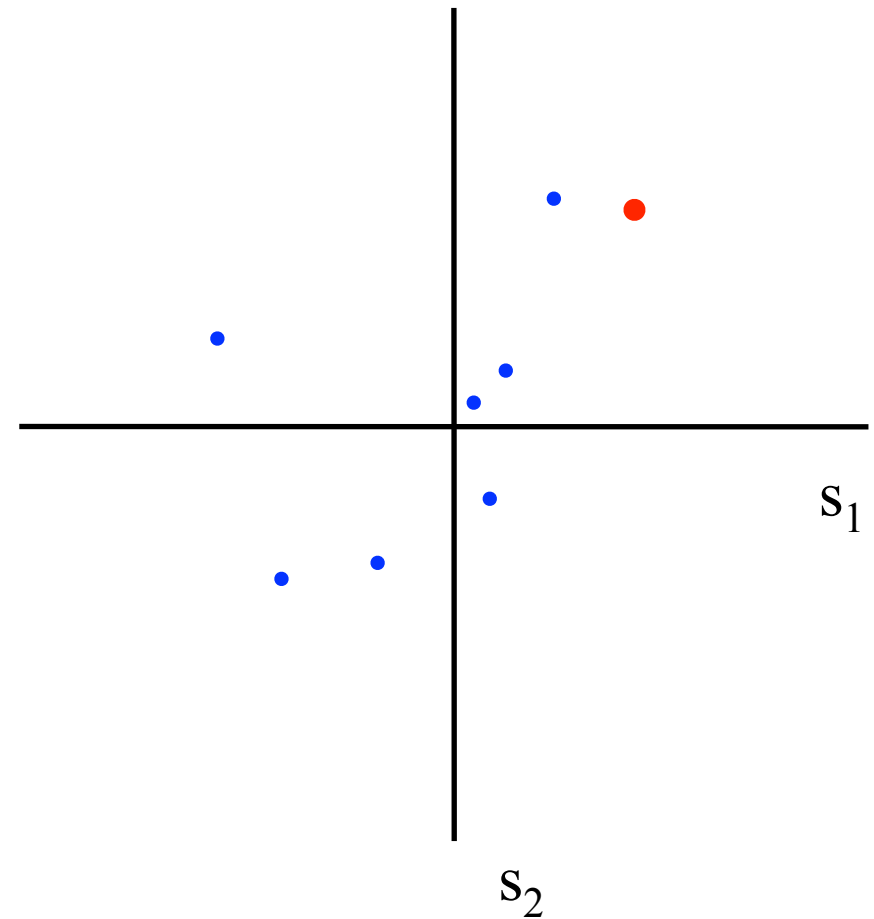
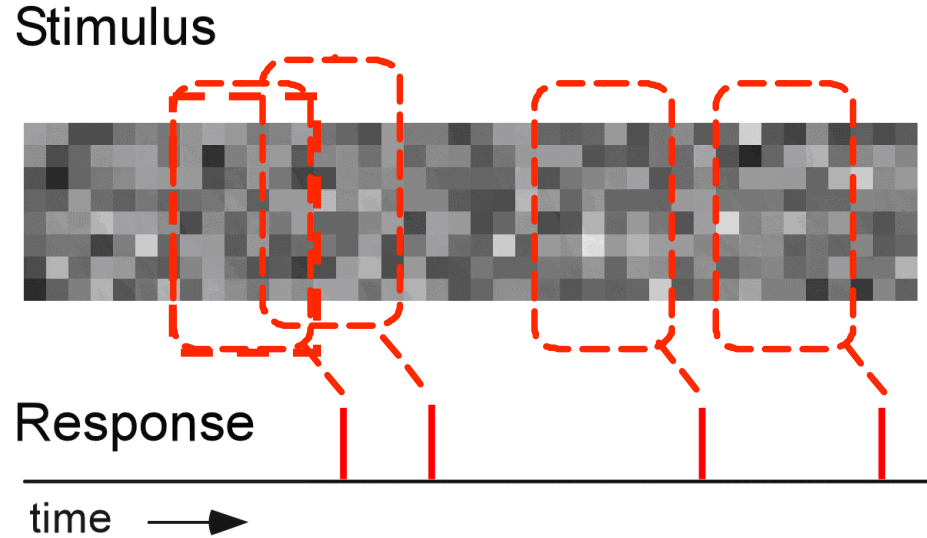
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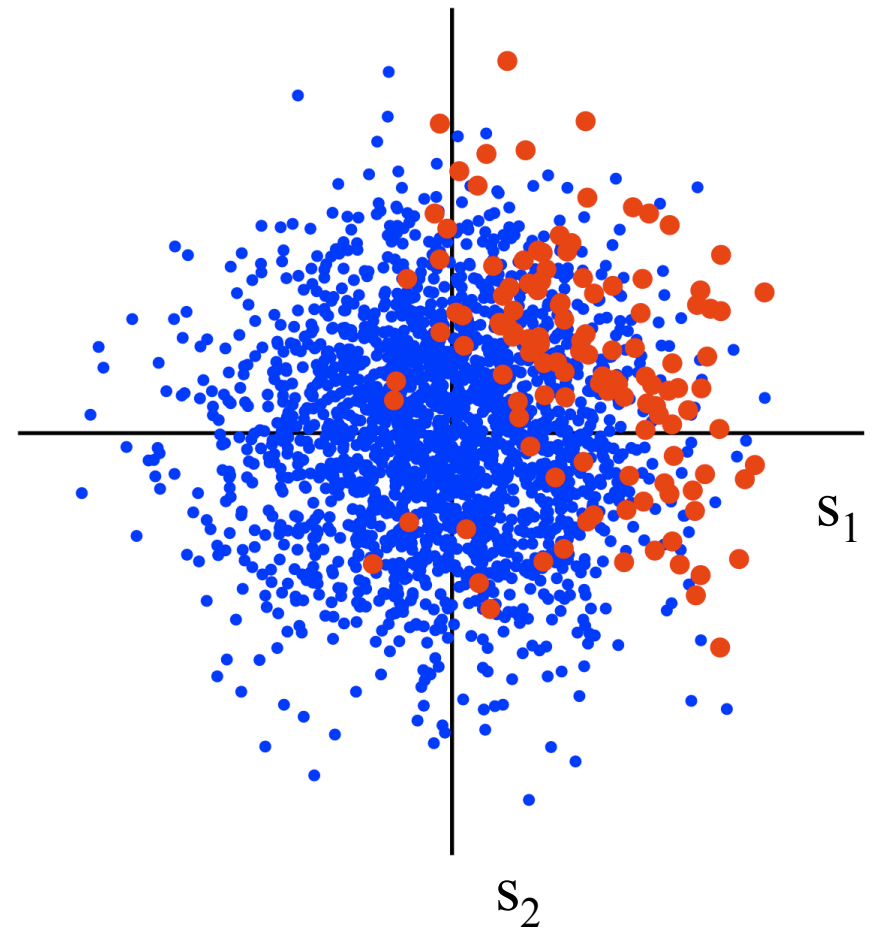
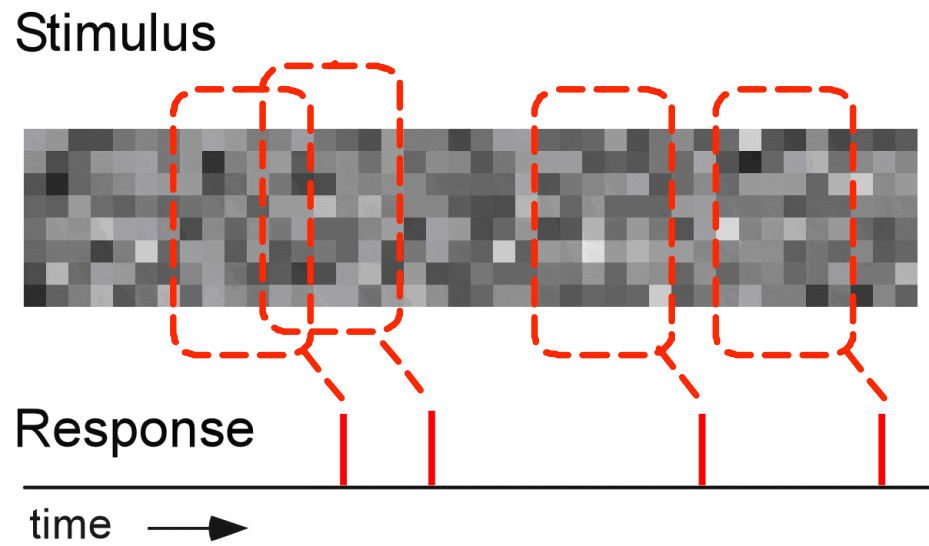
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- 8 x 6 stimulus block  
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# Geometric picture

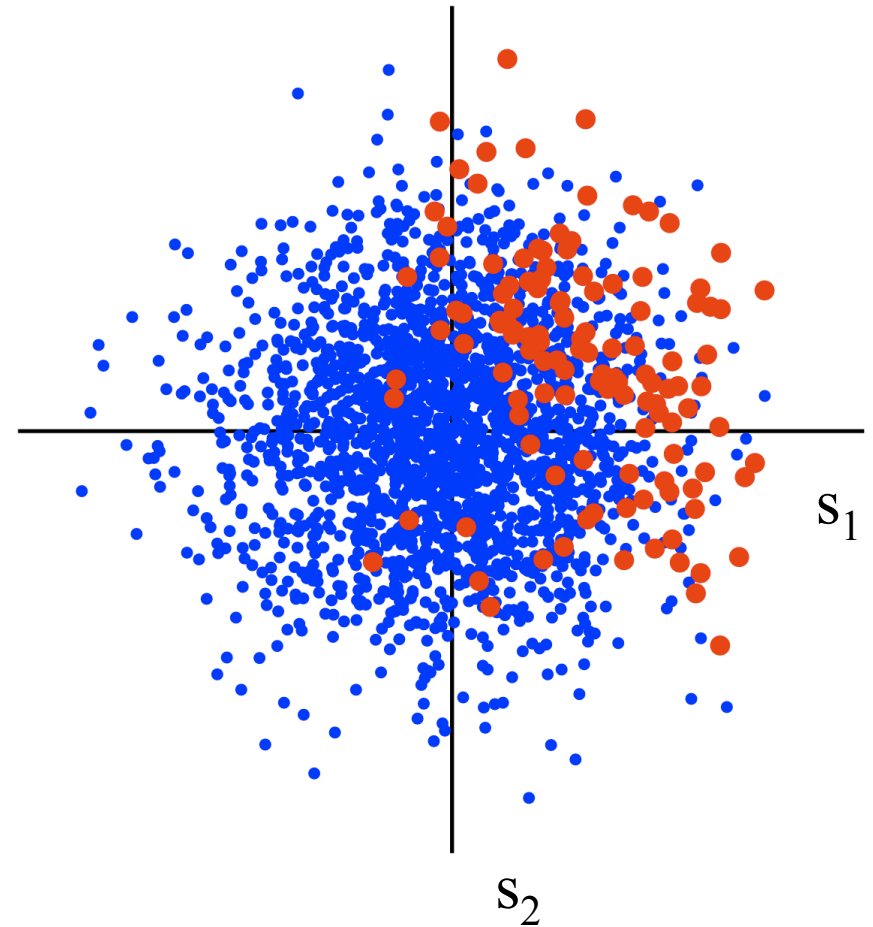


## General Idea:

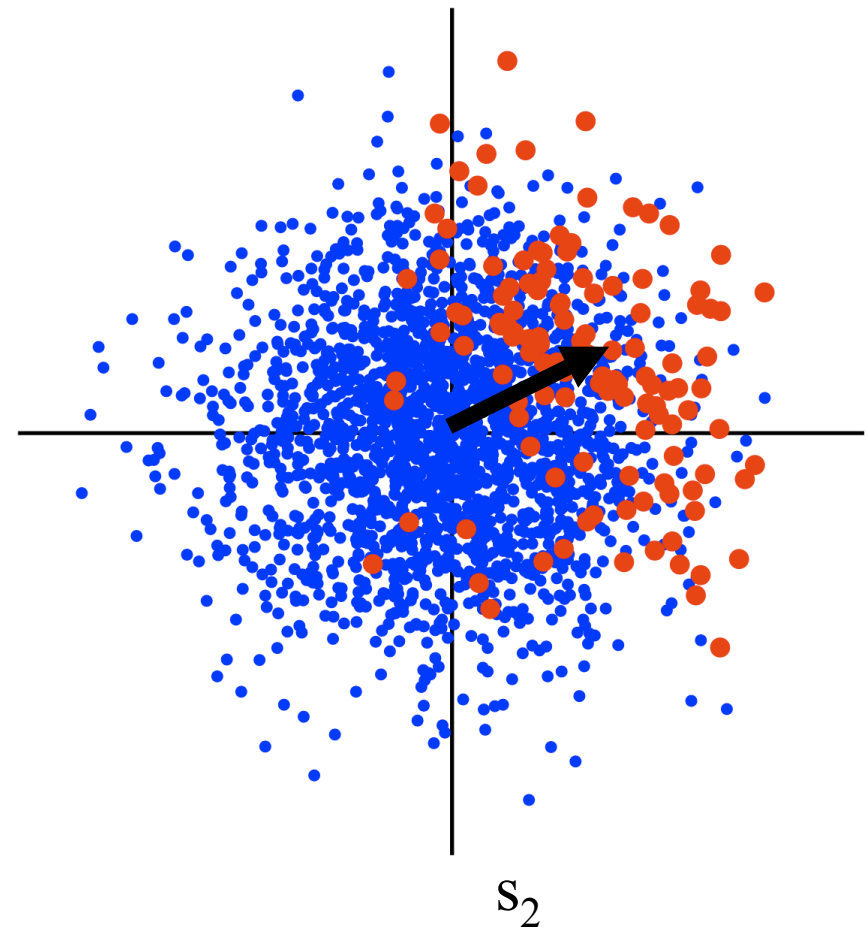
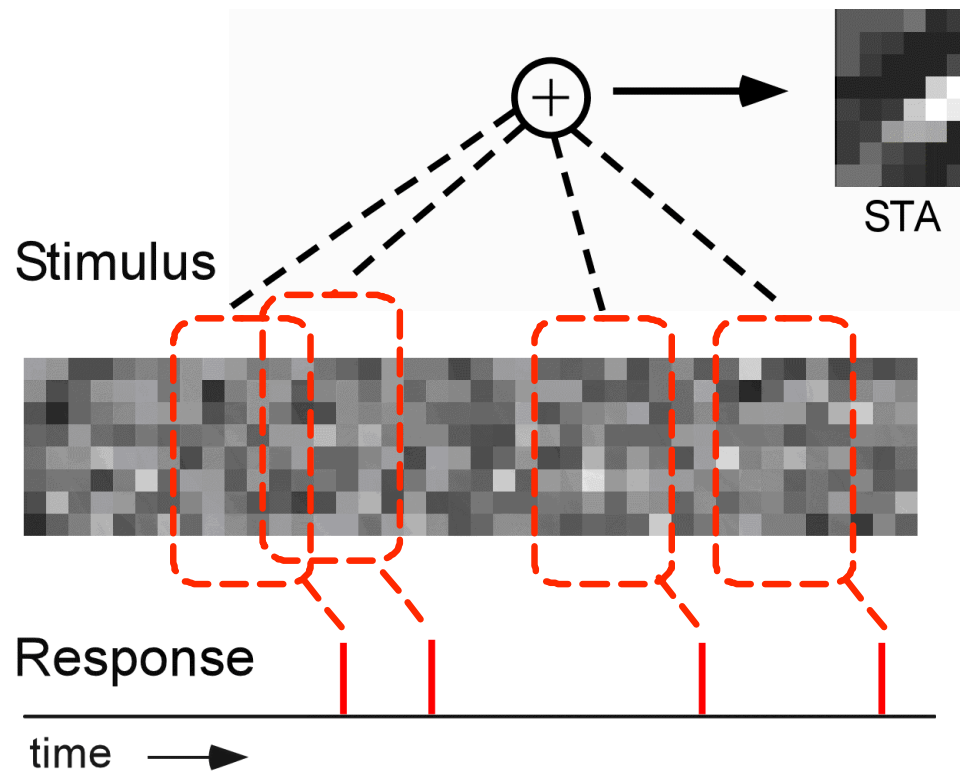
look for ways to capture the difference between the distribution of red dots,  $P(x, y = \text{spike})$ , and blue dots,  $P(x)$ .

$$P(\text{spike} | x) = \frac{P(x, \text{spike})}{P(x)}$$

(Bayes' rule)

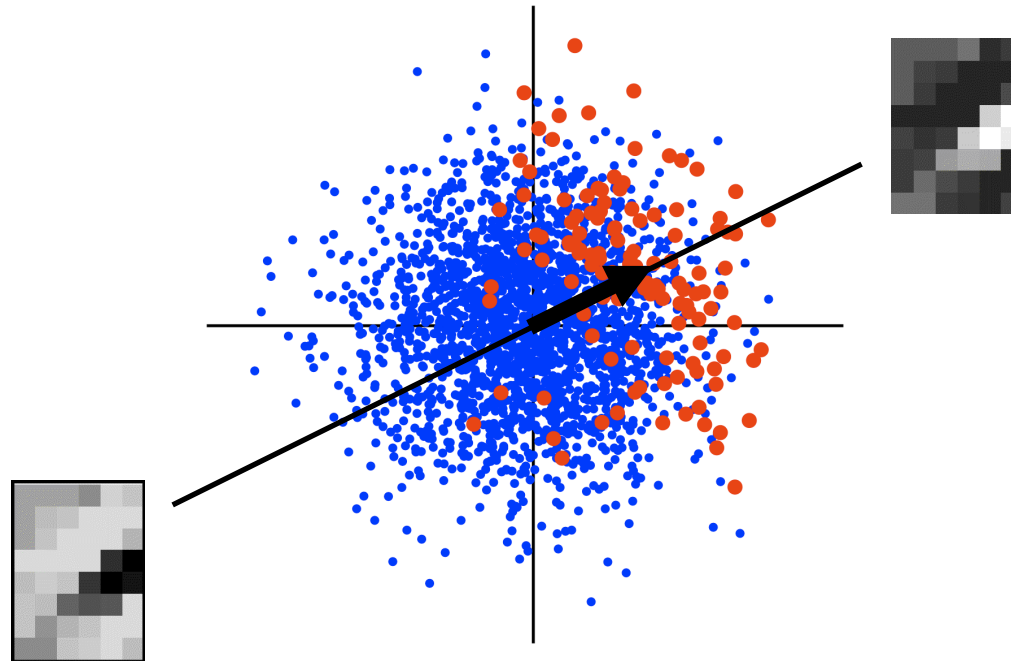


# Computing the STA



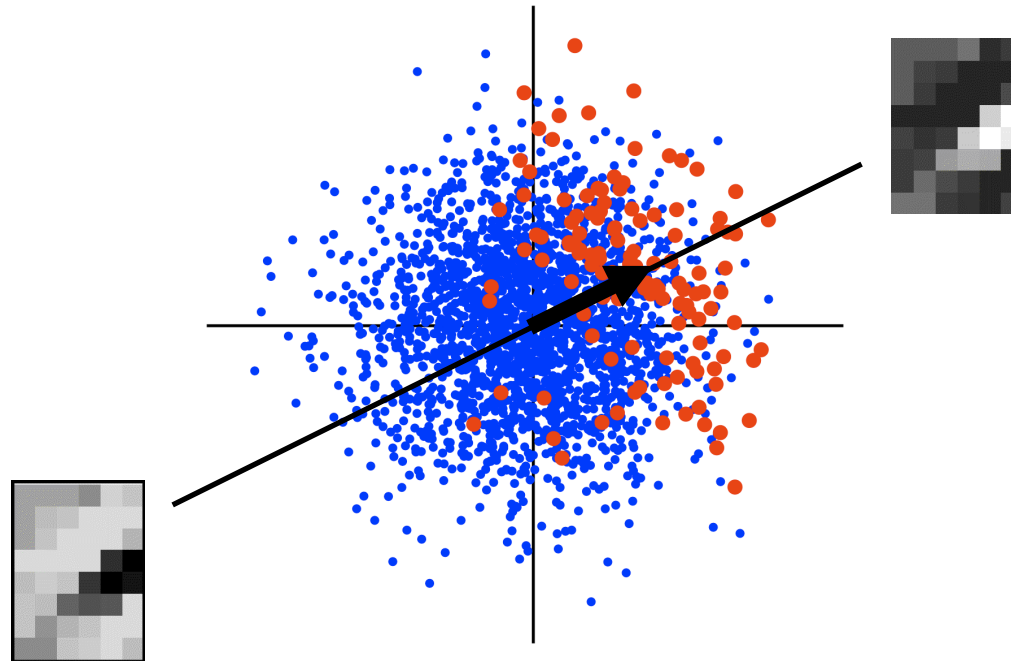
- raw stimuli
- spiking stimuli

STA corresponds to a “direction” in stimulus space

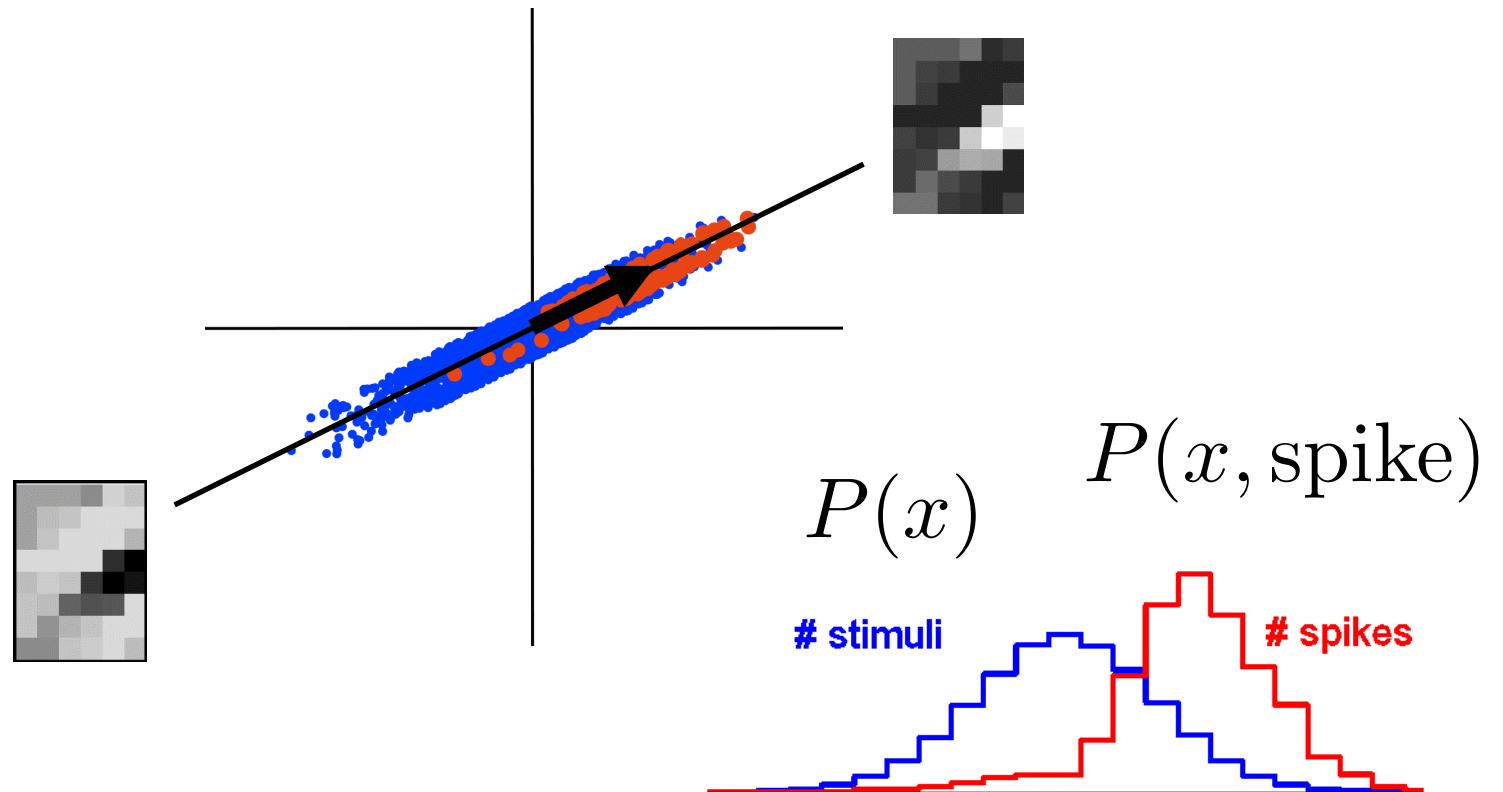




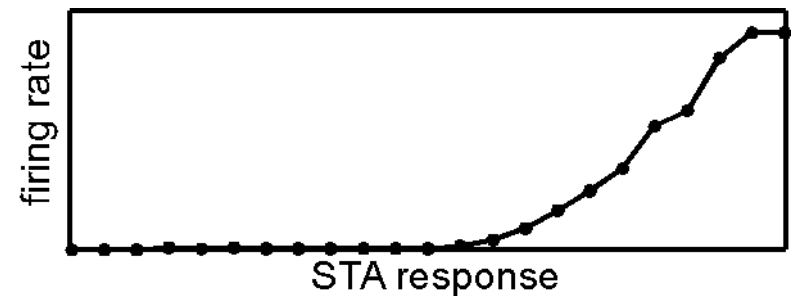
# Projecting onto the STA



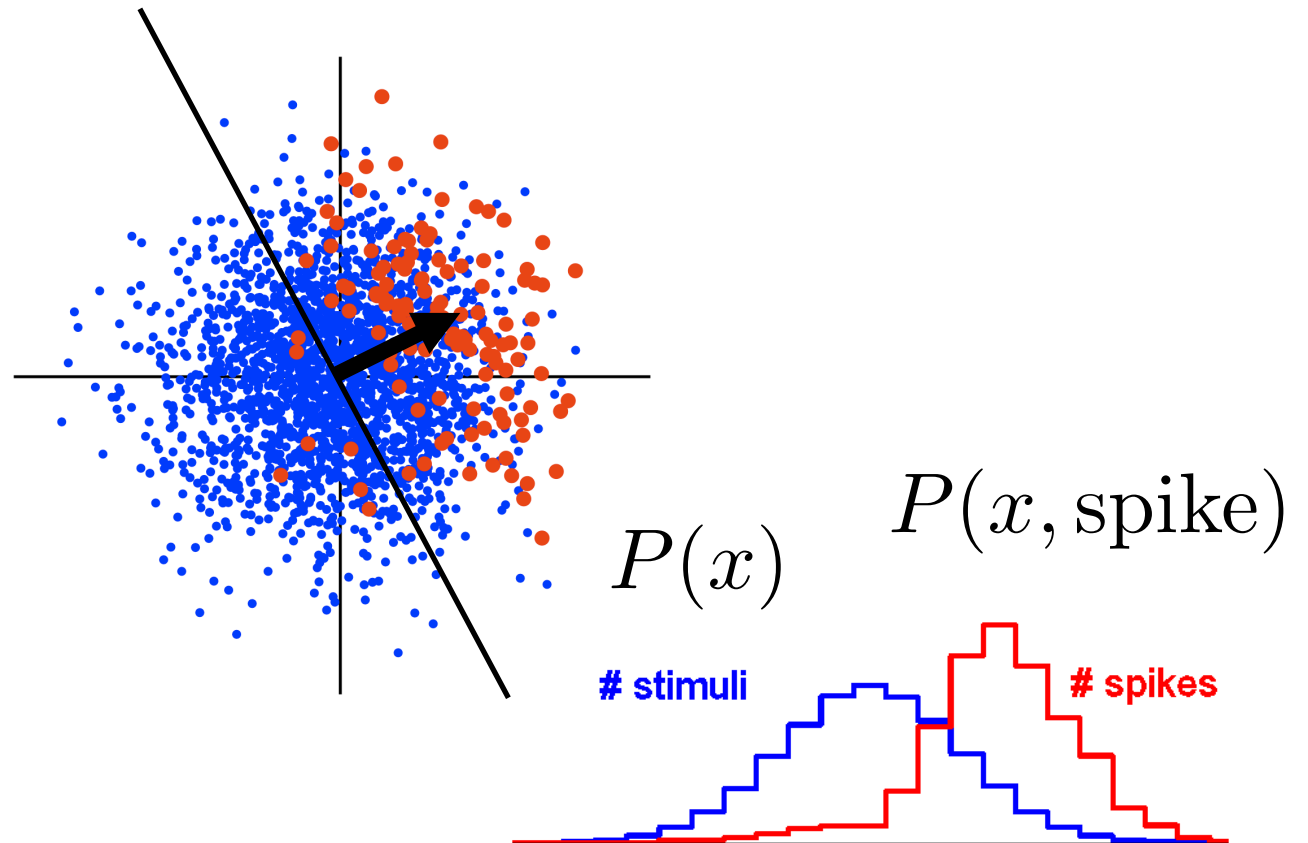
# Projecting onto the STA



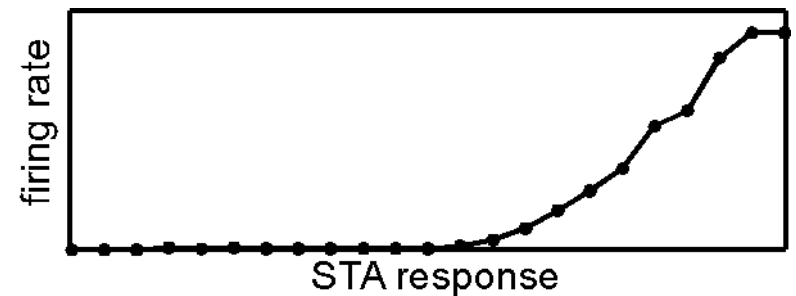
$$P(\text{spike}|x) = \frac{P(x, \text{spike})}{P(x)}$$



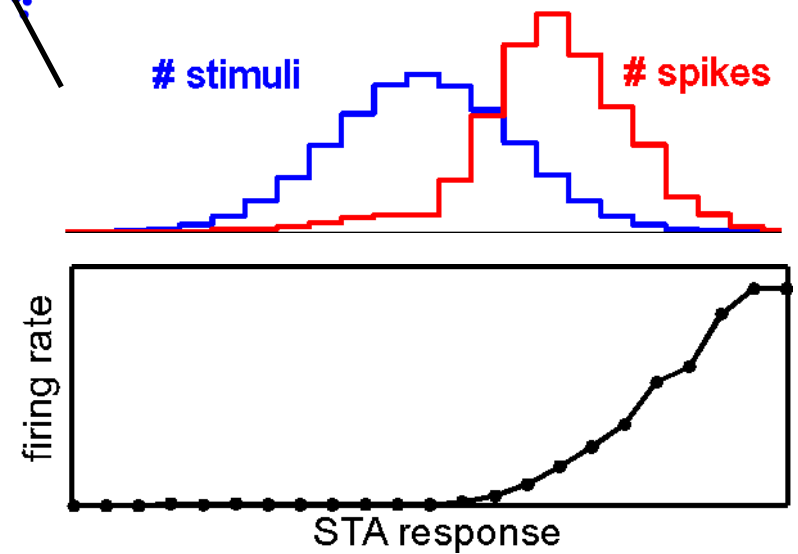
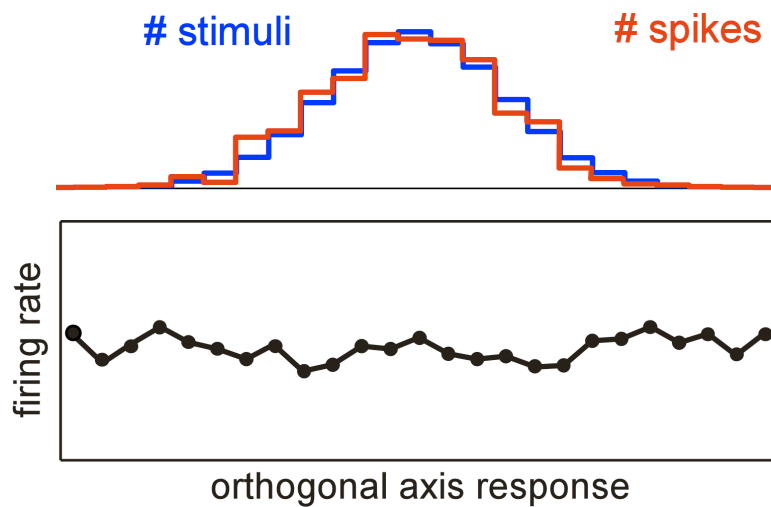
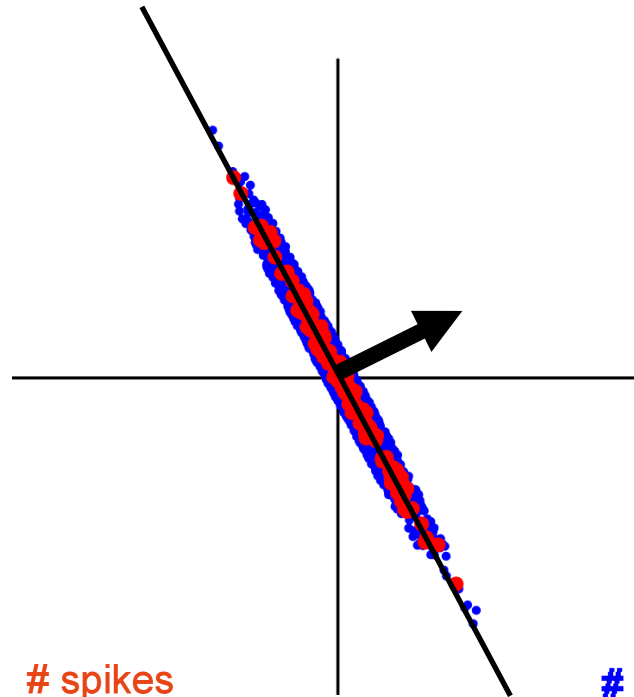
# Projecting onto an axis orthogonal to the STA



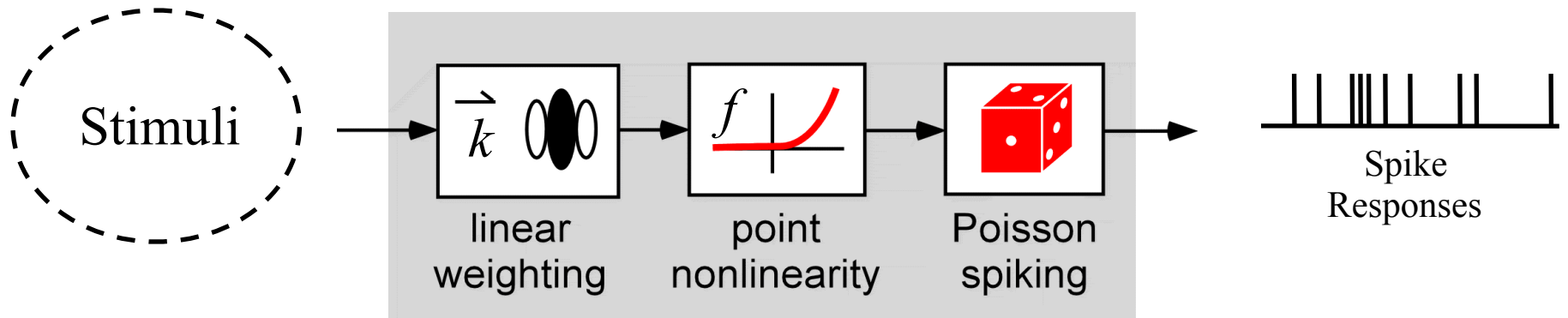
$$P(\text{spike}|x) = \frac{P(x, \text{spike})}{P(x)}$$



# Projecting onto an axis orthogonal to the STA

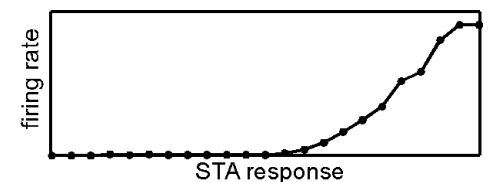
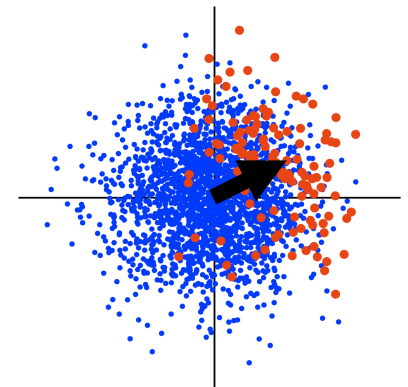


# LNP (Linear-Nonlinear-Poisson) cascade model

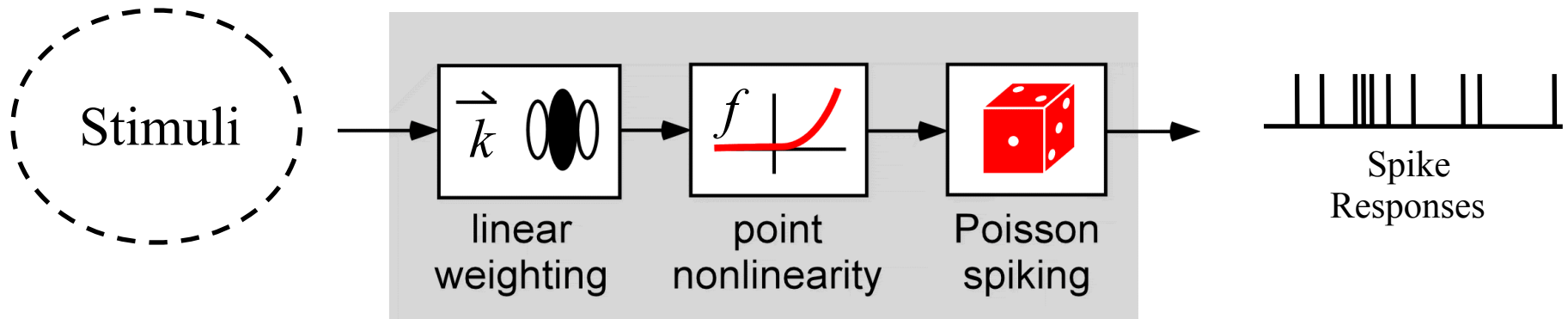


## Characterization Procedure:

1. Identify a single dimension of stimulus space that drives the neuron's response. (STA)
2. Project stimuli onto STA, estimate pdf of spiking stimuli, and compute  $f$  via Bayes' rule.



# LNP (Linear-Nonlinear-Poisson) cascade model



encoding distribution:  
(Bernoulli)

$$P(\text{spike} | \vec{x}_t) = f(\vec{k} \cdot \vec{x}_t)$$

parameters:  $\vec{k}$  stimulus filter ("receptive field")  
 $f$  nonlinearity

# Bussgang's Theorem

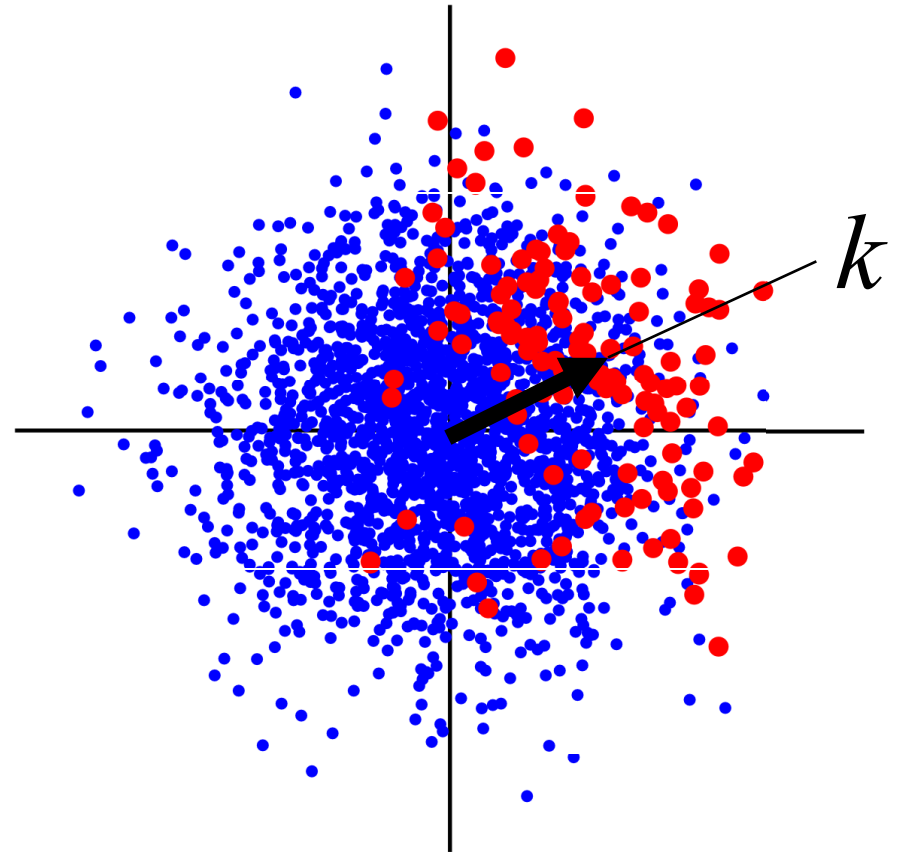
If  $P(y|x) = f(k \cdot x)$ , the STA is an unbiased estimator for  $k$ , if:

1.  $P(x)$  is spherically symmetric.
2.  $f$  introduces a change of mean in  $P(y|x)$ .

# Bussgang's Theorem

## Proof Intuition

- For circularly symmetric distribution,  $P(\text{stimulus})$  on either side of  $k$  is equal.
- These equal contributions will average out, leaving only  $k$

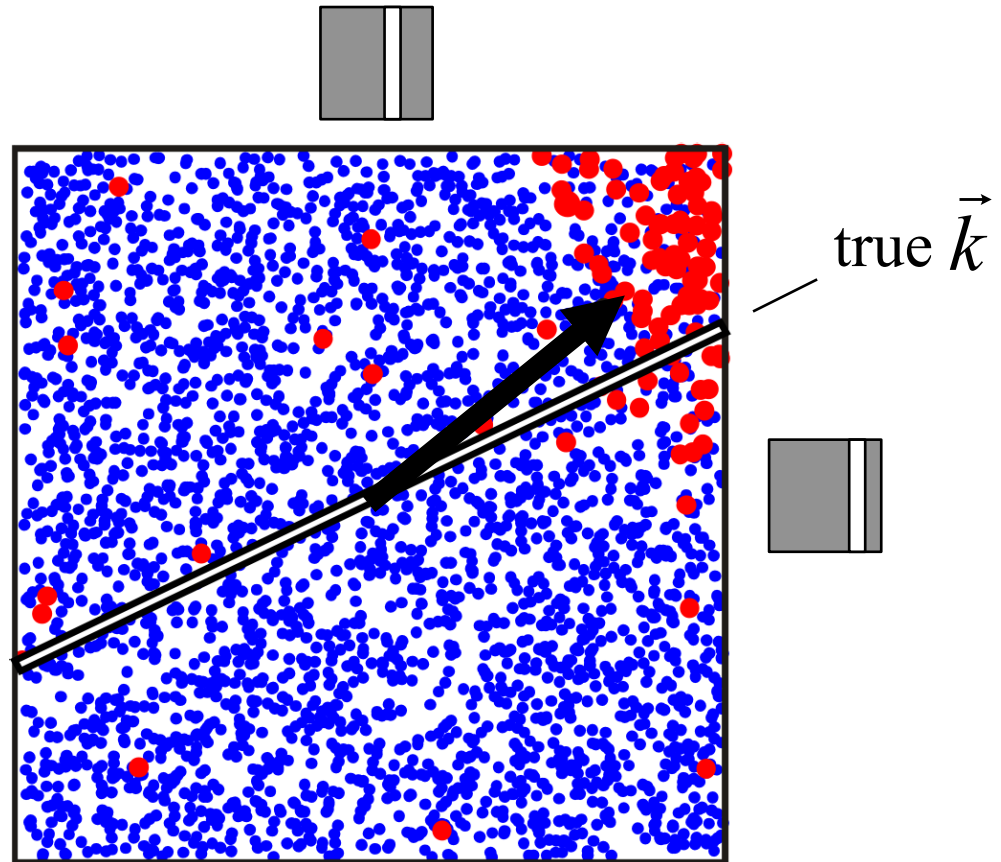




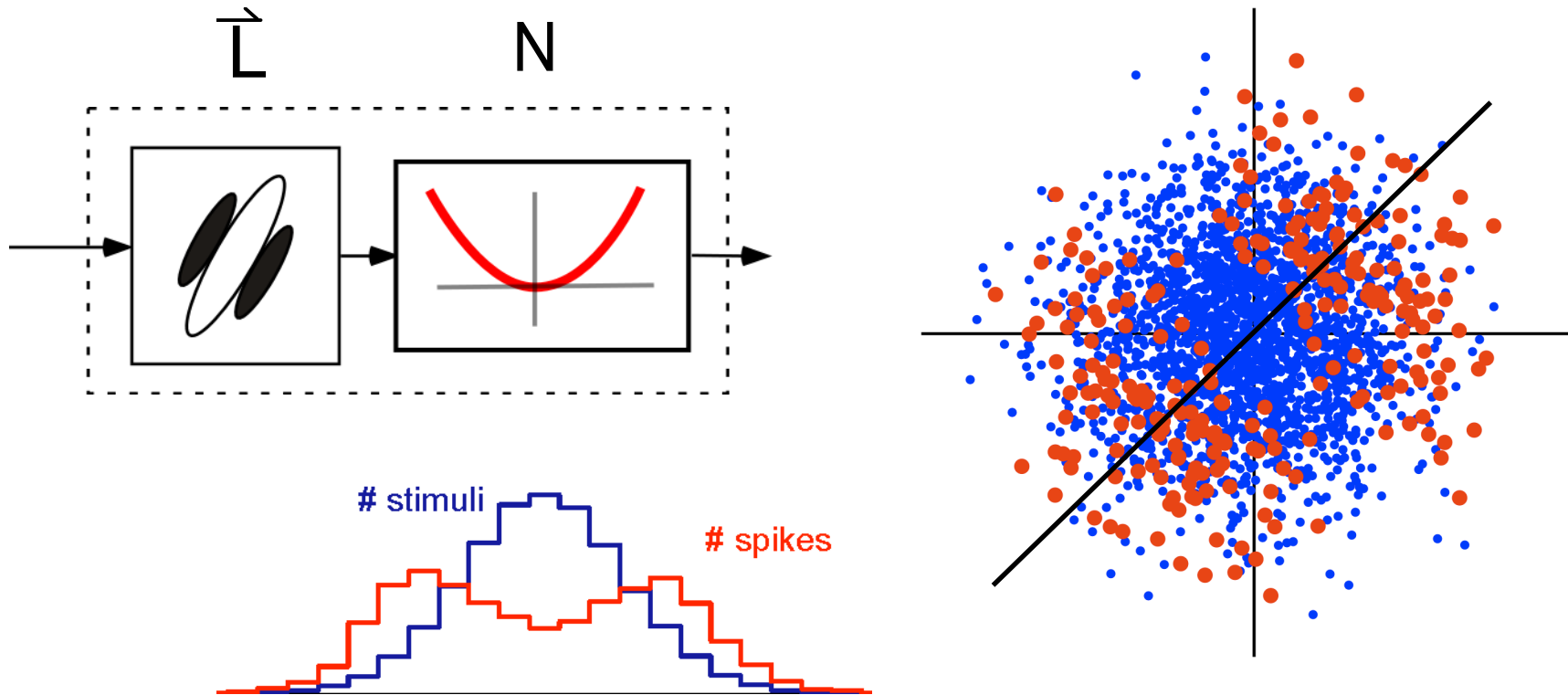
# Caveat: stimulus choice is important

- STA requires spherical stimulus distribution

Example:  
uniform noise

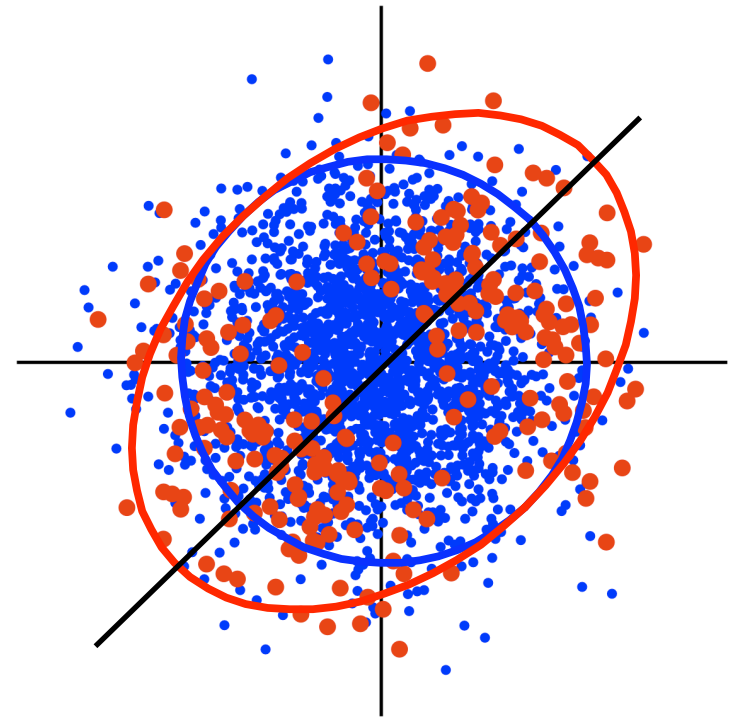
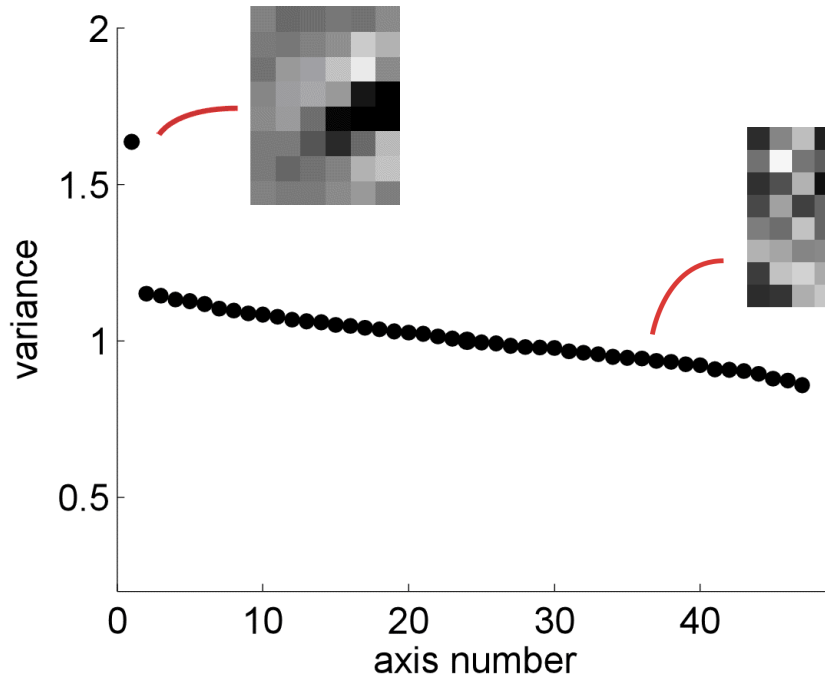


# How else can the STA fail?



idea: look for axes with a change in variance!

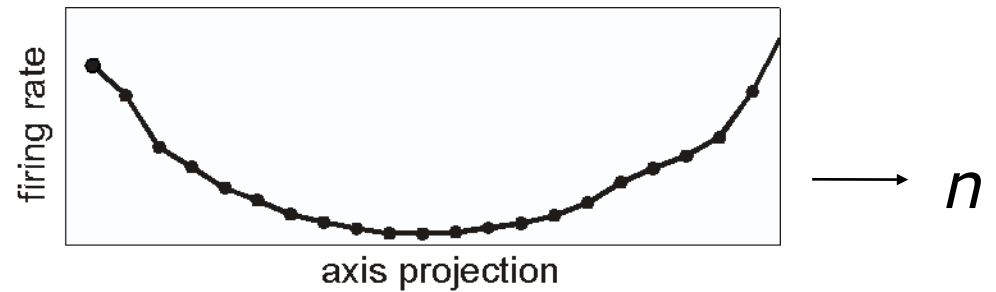
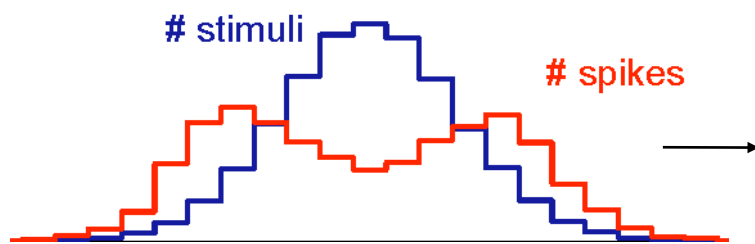
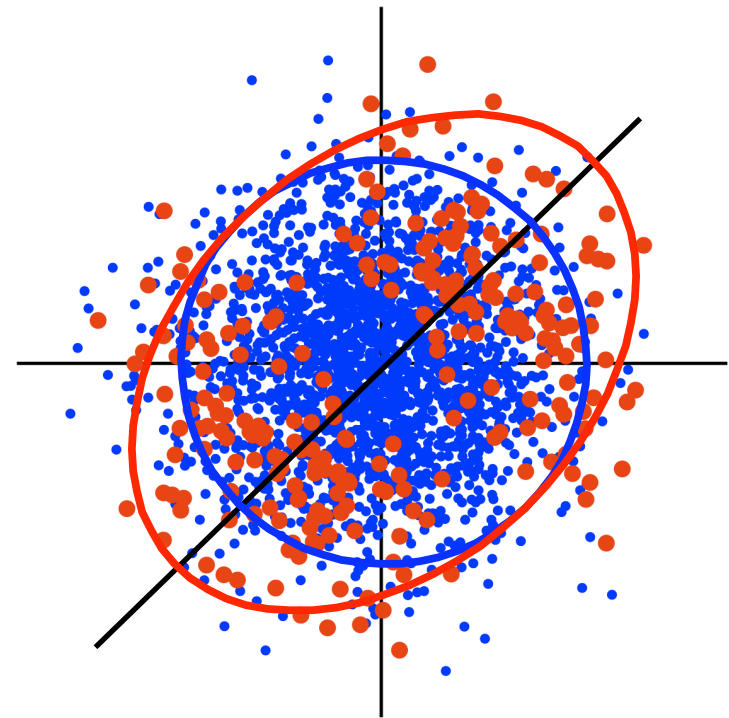
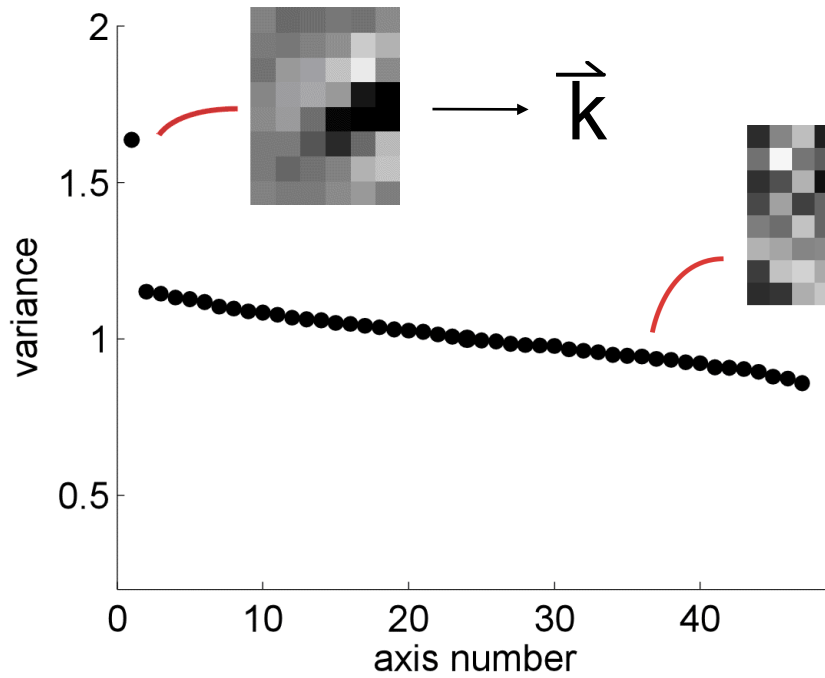
# Looking for changes in variance



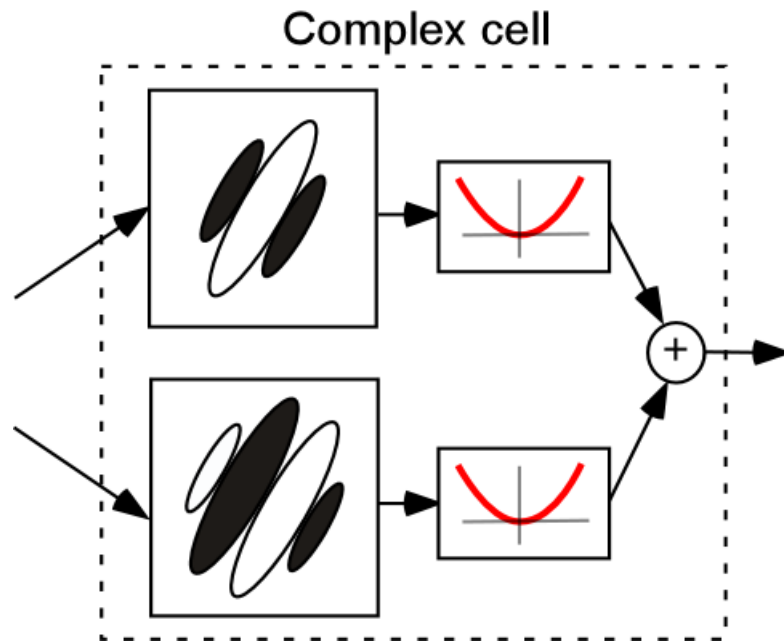
Two facts from linear algebra:

- 1) variance always traces out an ellipse
- 2) there is a readymade tool for solving this problem  
(PCA, eigenvector decomposition, Hotelling transform)

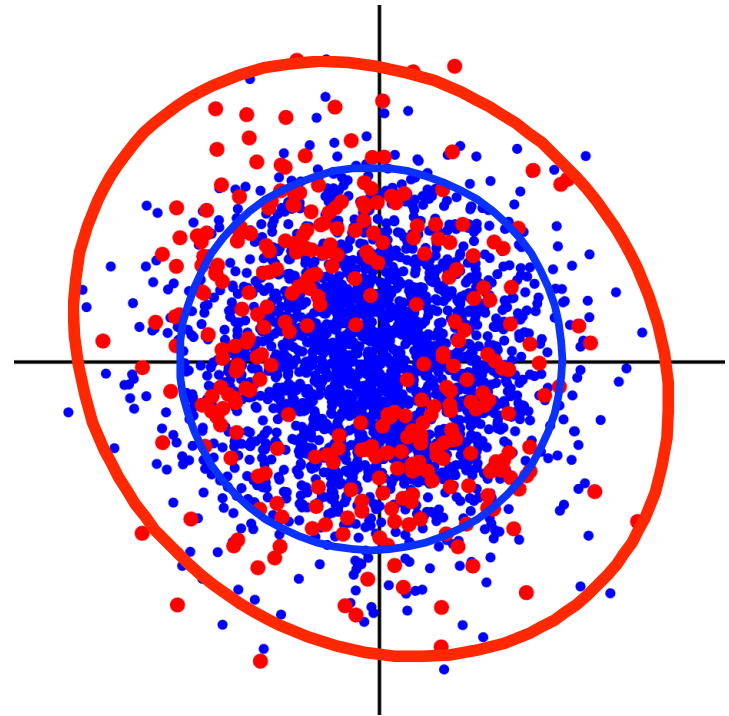
# Spike-triggered covariance (STC)



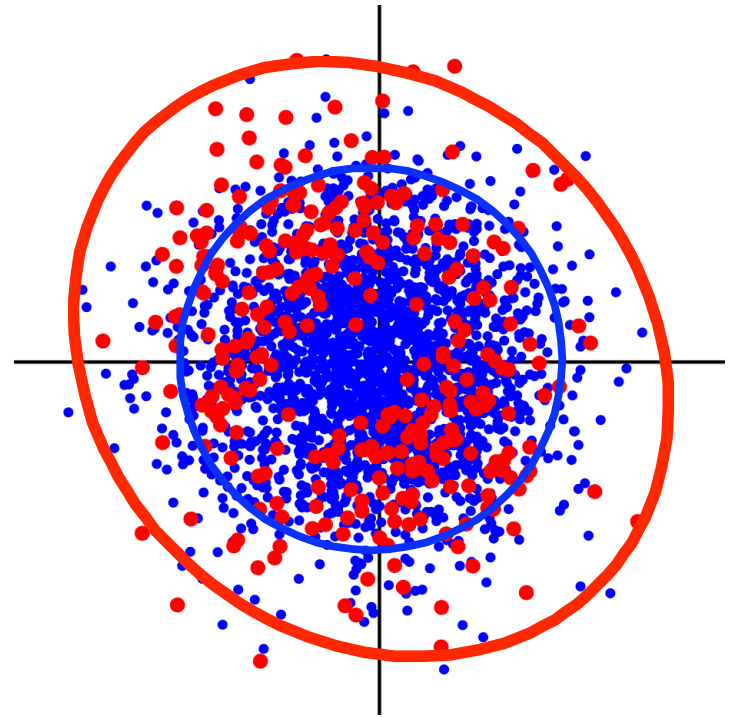
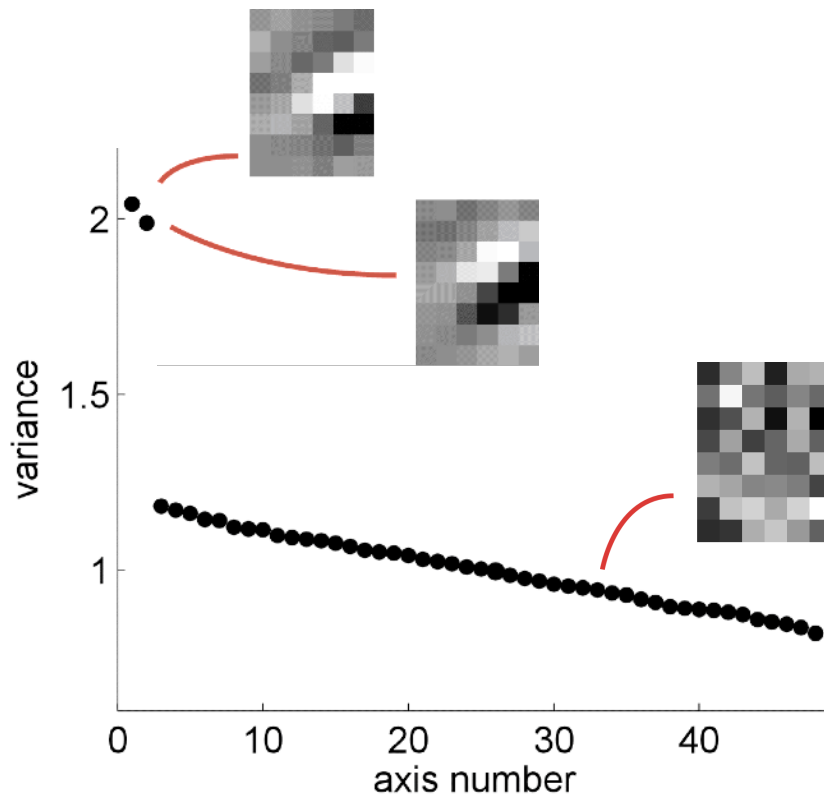
# Multiple linear filters



Adelson & Bergen 1985

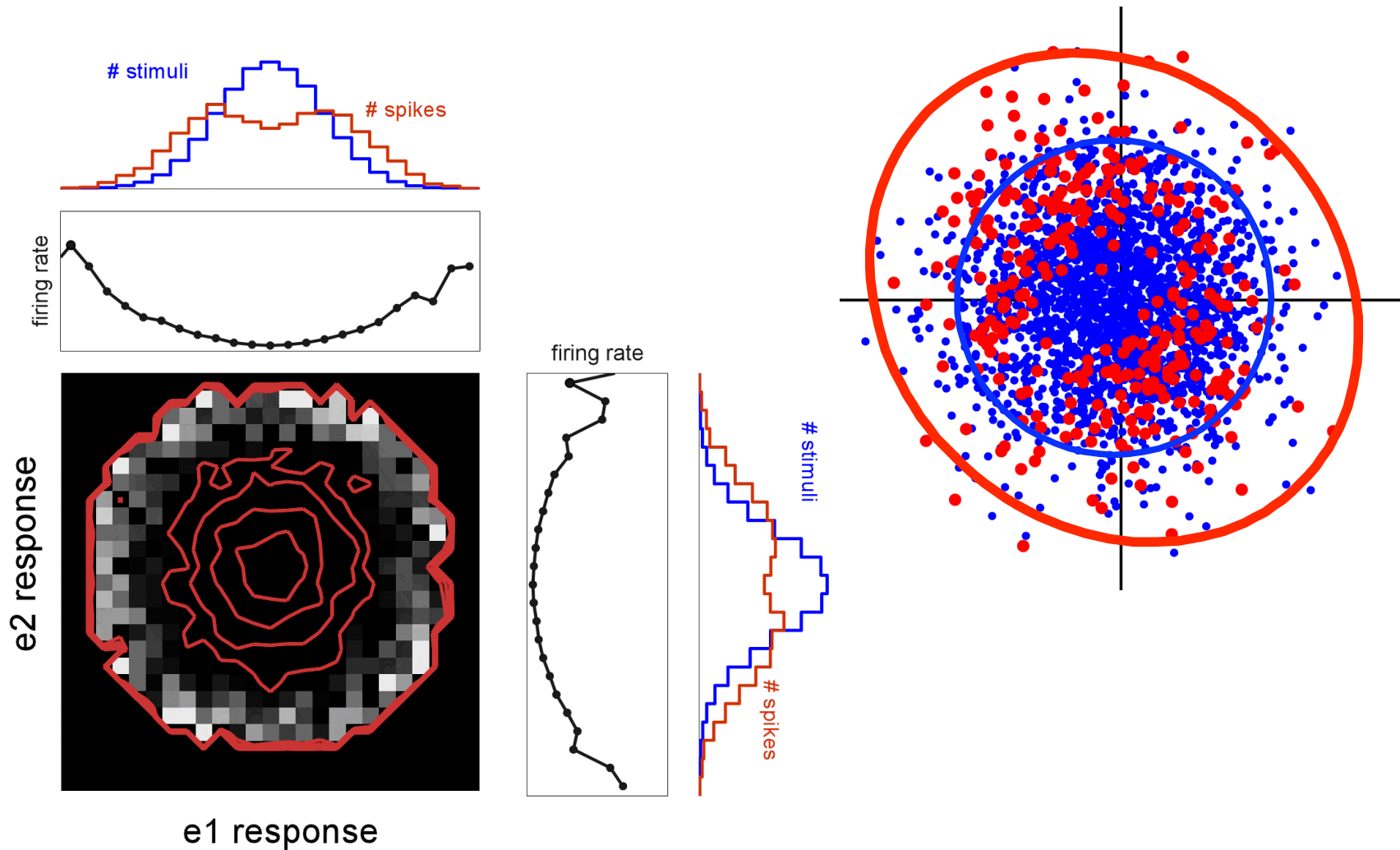


# Multiple linear filters



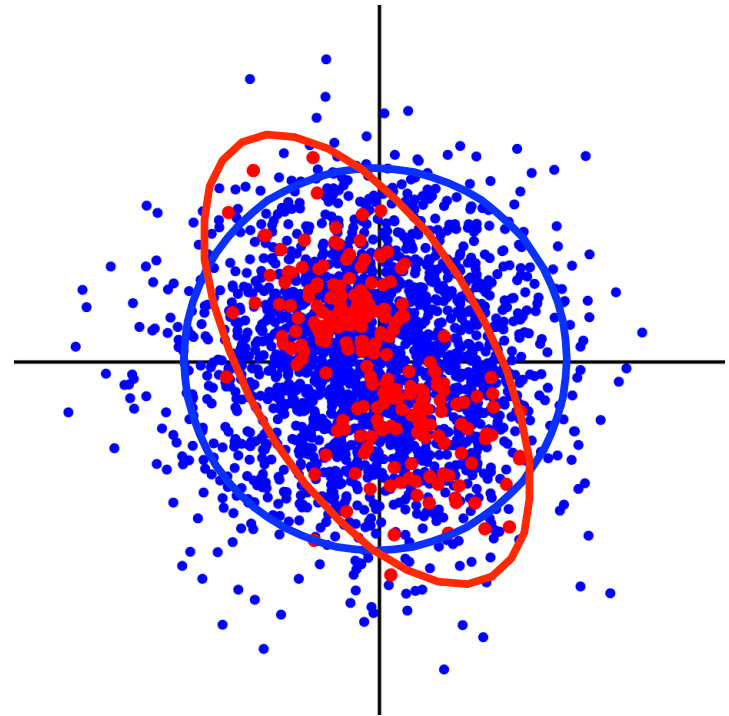
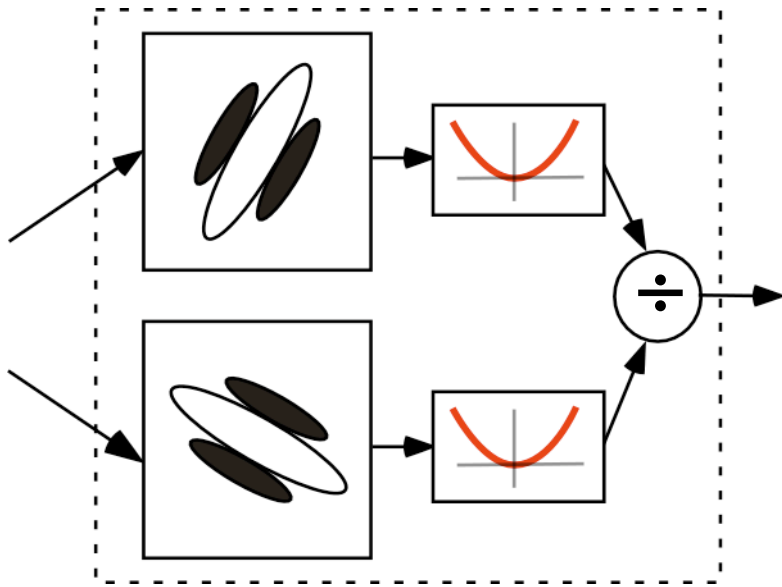
# Constructing the 2D nonlinearity

$$P(\text{spike}|x) = f(k_1 \cdot x, k_2 \cdot x)$$



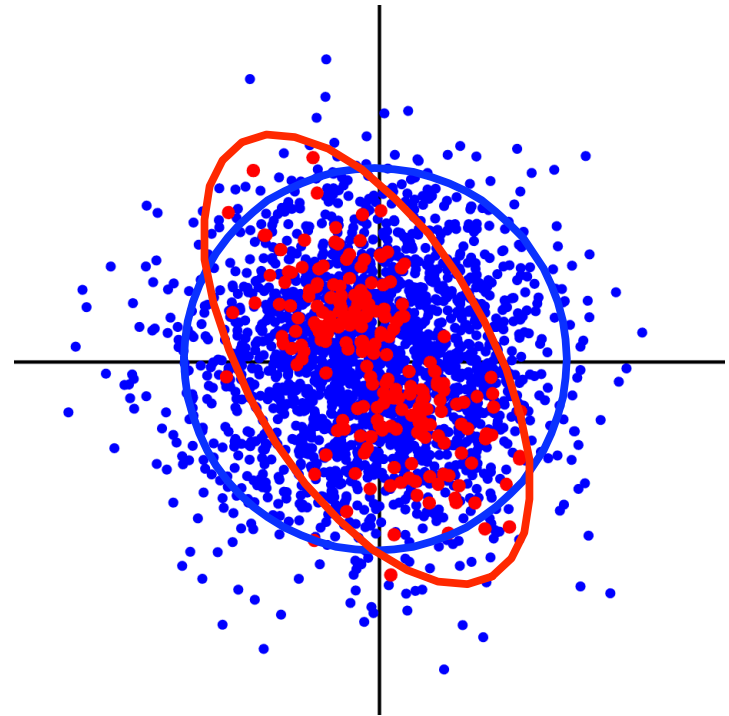
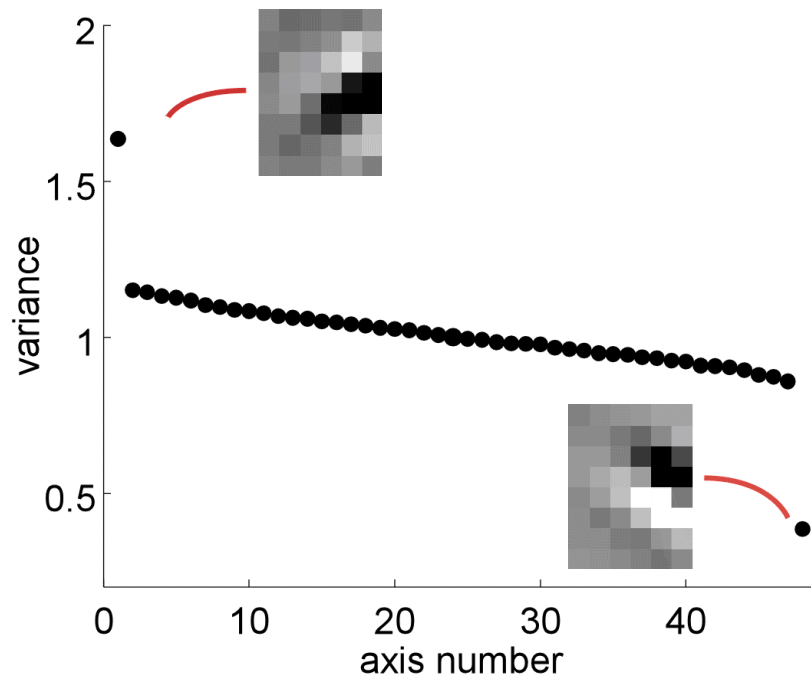
# Suppressive interactions

divisive normalization

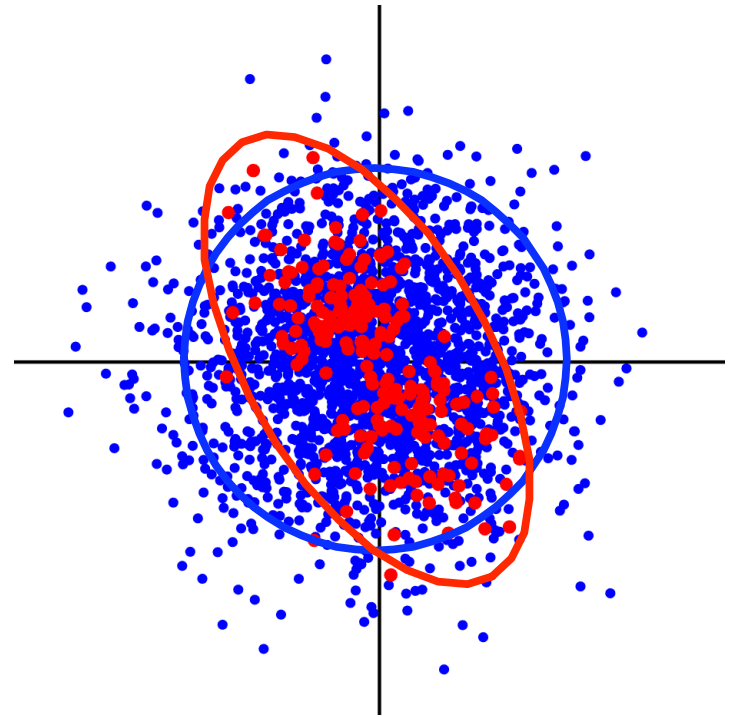
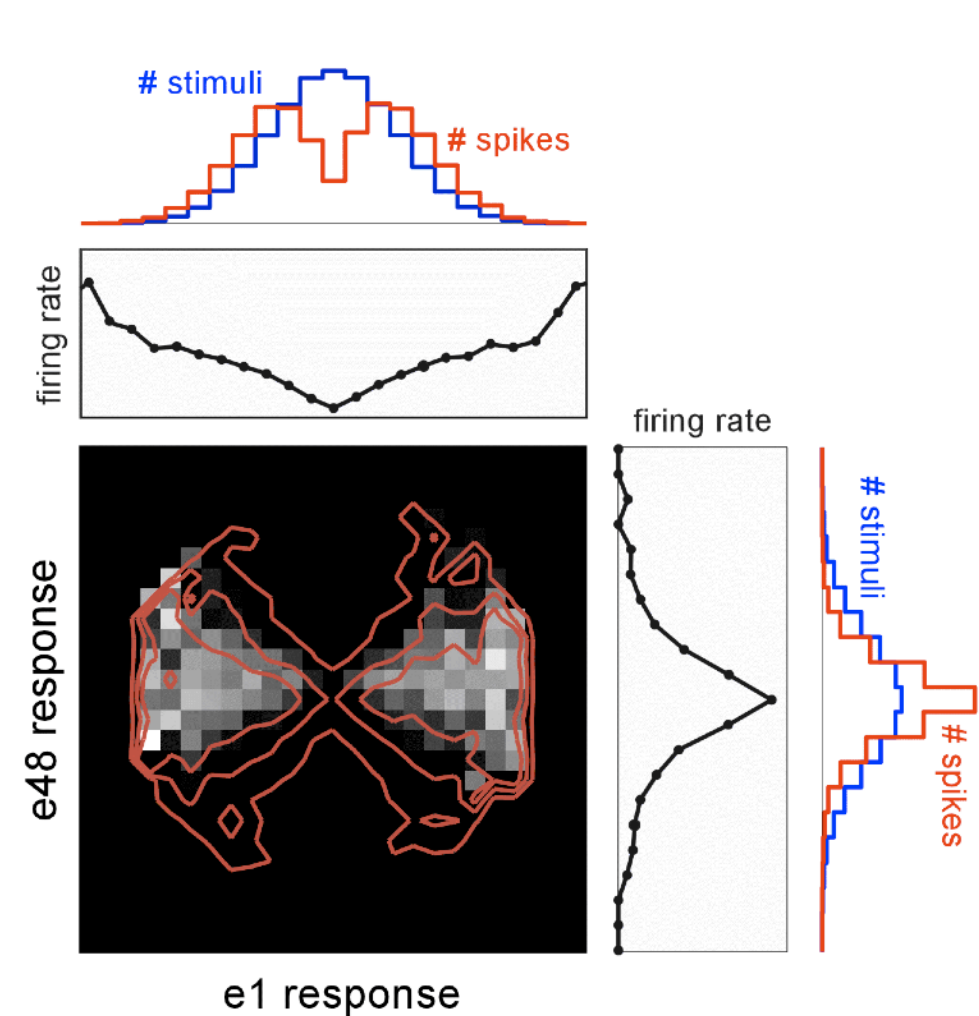




# STC: suppressive axes



# STC: suppressive axes



# Summary: spike-triggered covariance analysis

1. Compute covariance of spike triggered stimuli

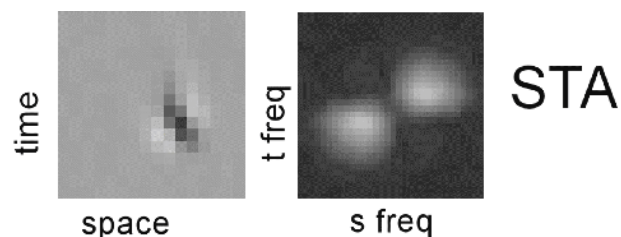
$$A = \frac{1}{n} \sum x_i x_i^T$$

2. Compute eigenvectors/eigenvalues of A
3. Eigenvalues bigger/smaller than 1 indicate stimulus axes along which the response is excited/suppressed
4. Construct model of multi-dim nonlinearity  $f$

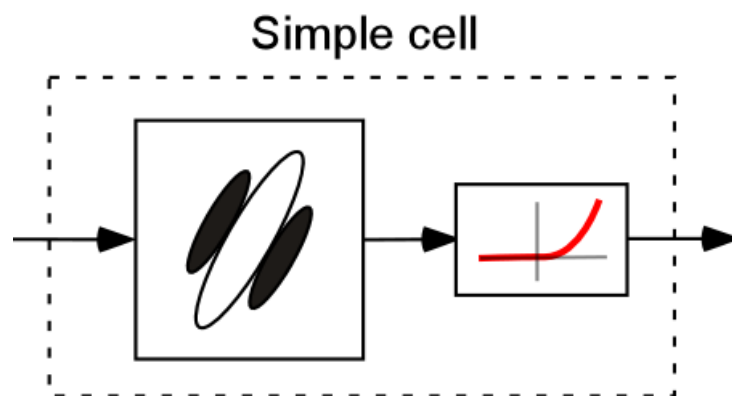
# Examples:

STC applied to neural data

# V1 simple cell

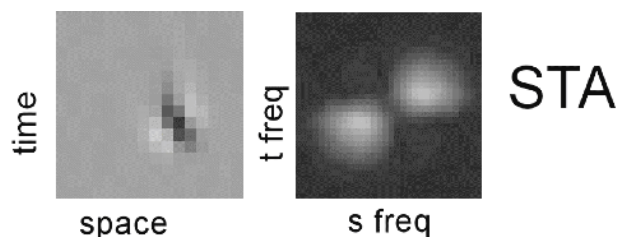


- flickering bars (16 bars x 16 time bins)



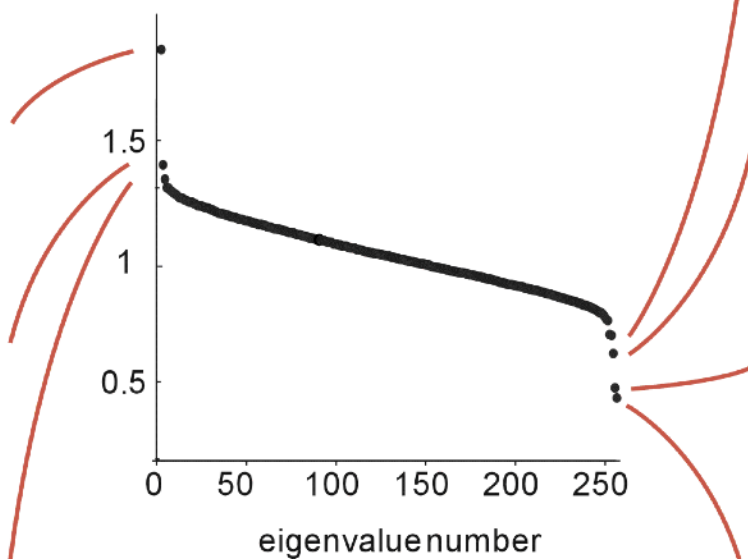
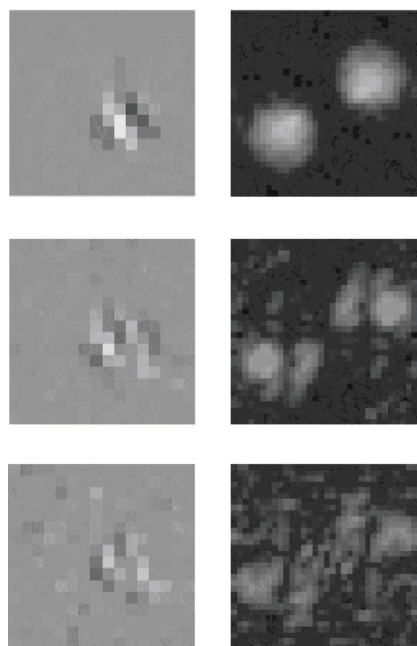
Rust, Schwartz, Movshon, Simoncelli (Neuron 2005)

# V1 simple cell

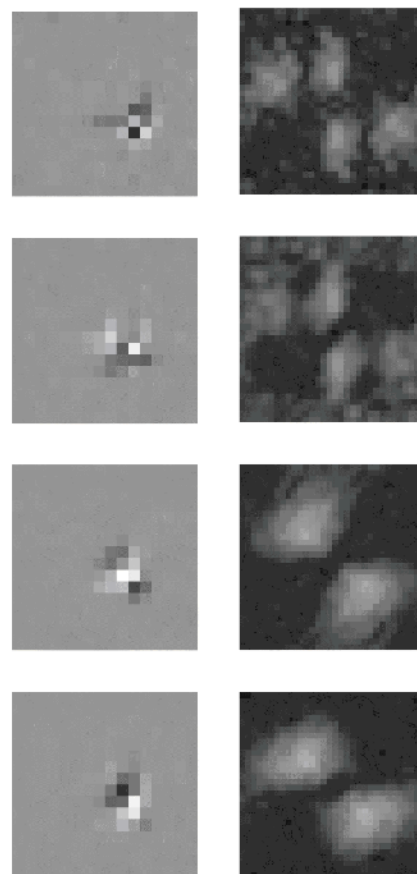


- flickering bars (16 bars x 16 time bins)

excitatory STC axes

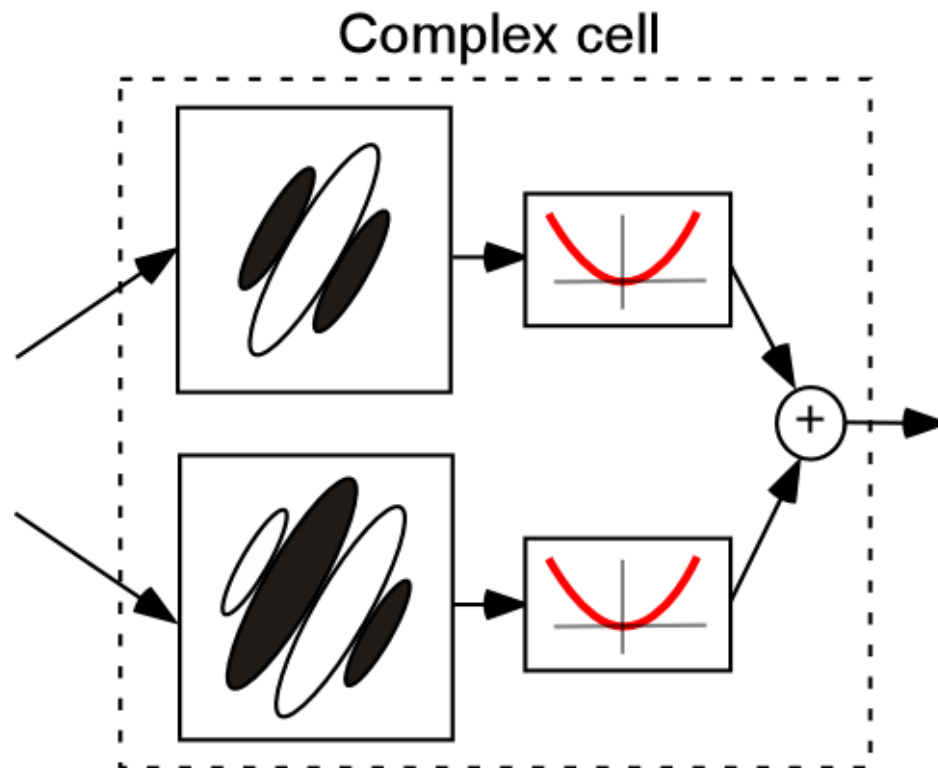


suppressive STC axes



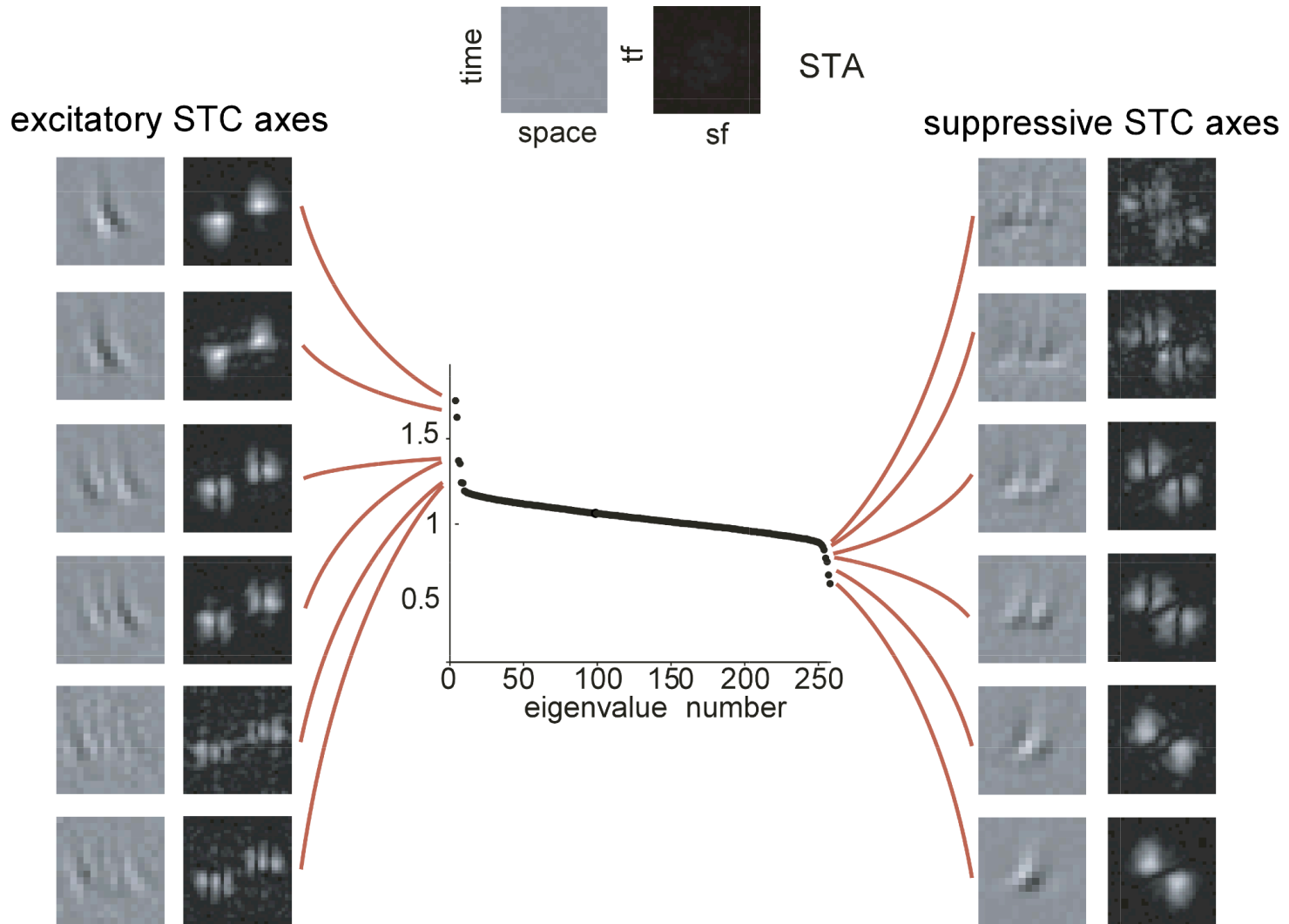
Rust, Schwartz, Movshon, Simoncelli (Neuron 2005)

# V1 complex cell, standard model



Adelson & Bergen 1985

# V1 complex cell



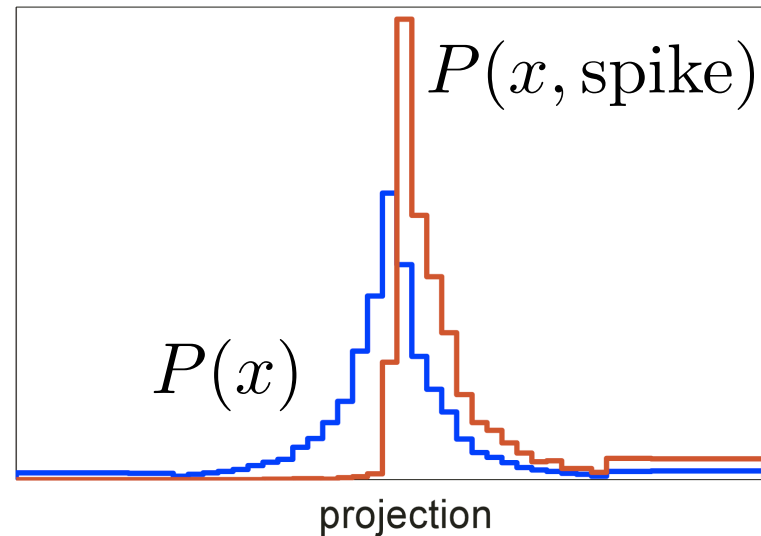
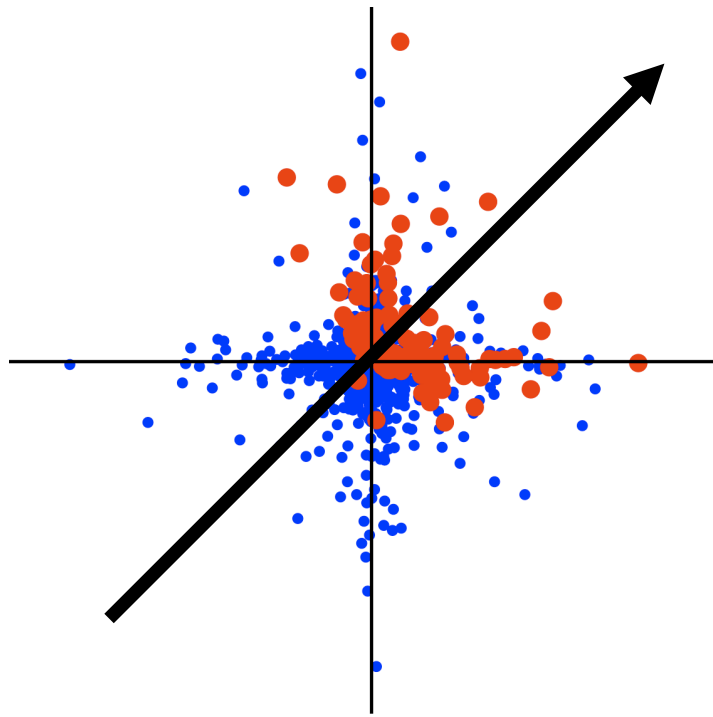
Rust et al 05



## conclusion:

Standard models of neural response may underestimate the number of dimensions in which neurons compute their responses.

# Beyond mean and variance: other techniques for finding stimulus features that affect response

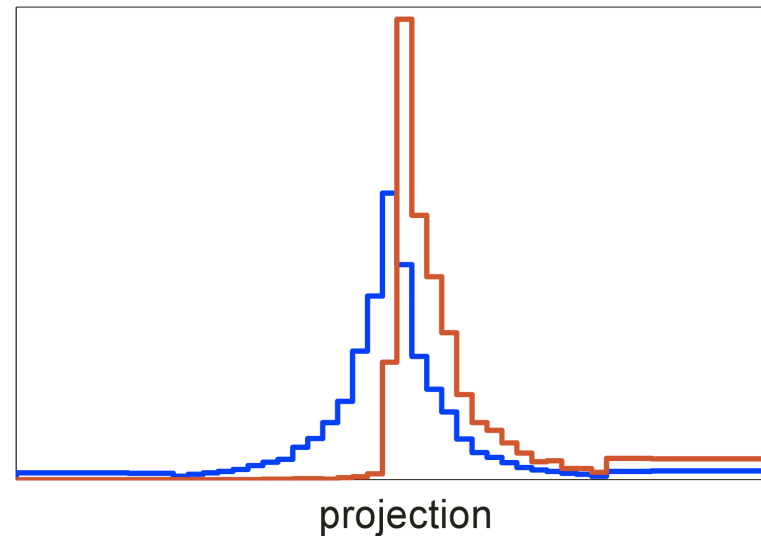
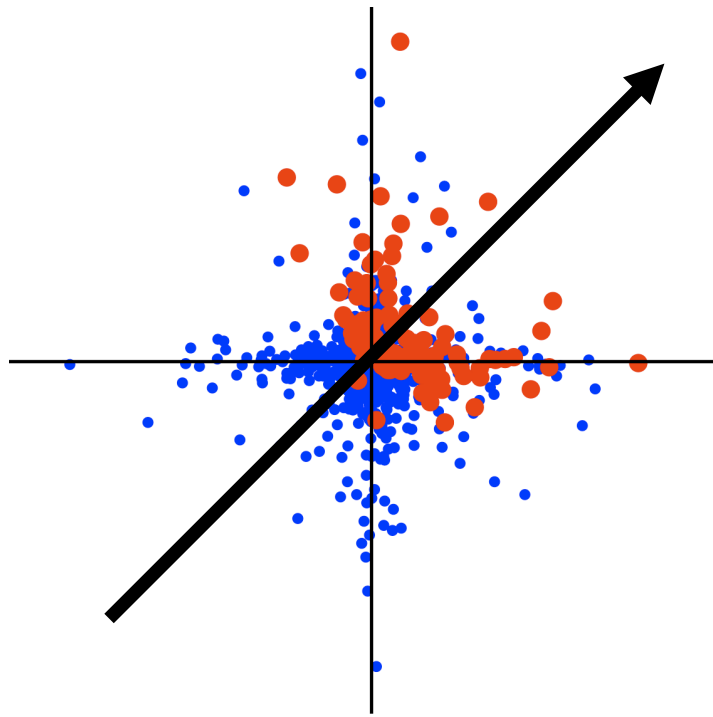


Information-theoretic approach: Search for axis maximizing the diff. between the two distributions

$$\text{rate} = \frac{P(x, \text{spike})}{P(x)}$$

$$\underset{k}{\text{maximize}} \quad KL[ P(k \cdot x, \text{spike}) \mid P(k \cdot x) ]$$

# Beyond mean and variance: other techniques for finding stimulus features that affect response



Information-theoretic approach: Search for axis maximizing the diff. between the two distributions

- computationally intensive, but
- doesn't require spherical symmetry

- Paninski '03
- Sharpee, Rust & Bialek '03

# Summary (last 2 lectures):

1. Neural encoding problem:  $P(y|x)$
2. Classical approach: parametric stimuli
3. Wiener Kernels: polynomial models
4. Linear-Nonlinear-Poisson cascade models:  
fit using dimensionality-reduction techniques  
(STA, STC)

# Open problems:

1. Better models of the nonlinearity.
2. Characterizing neurons deeper in sensory processing pathway
3. Incorporating adaptative effects